



Local Government Performance Assessment

Mubende District

(Vote Code: 541)

Assessment	Scores
Crosscutting Minimum Conditions	75%
Education Minimum Conditions	100%
Health Minimum Conditions	100%
Water & Environment Minimum Conditions	70%
Micro-scale Irrigation Minimum Conditions	70%
Crosscutting Performance Measures	63%
Educational Performance Measures	65%
Health Performance Measures	69%
Water & Environment Performance Measures	68%
Micro-scale Irrigation Performance Measures	26%

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): • If so: Score 4 or else 0 	<p>The following sampled projects implemented using DDEG funds were functional and utilized as per the purpose.</p> <p>1) Construction of a Sports Field at Mugungulu Seed Secondary School in Bagezza S/C – Cost UGX 39,718,800. There was no field at the school so this is being used for that purpose</p> <p>2) Construction of 2 Unit Staff House at Kabowa P/S – Was completed and being used for the purpose</p> <p>3) Installation of 2 10,000-Litre water tanks on the Storied building and 4 compound water stands - Cost UGX 11,990,000. These are being used to harvest water as intended.</p>	4
2	<p>Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>a. If the average score in the overall LLG performance assessment increased from previous assessment :</p> <ul style="list-style-type: none"> o by more than 10%: Score 3 o 5-10% increase: Score 2 o Below 5 % Score 0 	Not applicable.	0

2	<p>Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> • If 100% the projects were completed : Score 3 • If 80-99%: Score 2 • If below 80%: 0 	<p>From the Annual Performance Report FY 2019/20 and list submitted to CAO dated 28th June 2020, the DDEG funded projects were 29 of which 29 were completed representing 100%. These included:</p> <ol style="list-style-type: none"> 1) Construction of 2 classroom blocks at Kabowa P/S in Kitenga S/C – Cost UGX 47,000,000 2) Construction of Sports field at Mugungulu Seed Secondary School – Cost UGX 39,718,800 3) Installation of 2 10,000-Litres water tanks at District Head-quarters - Cost UGX 11,990,000 	3
3	<p>Investment Performance</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:</p> <p>Score 2 or else score 0.</p>	<p>There was evidence that the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines: The LG DDEG budgeted funds were Ugx 1,001,364,944</p> <p>(page 4 of Approved Budget) and it was spent (page 66 of Annual performance report).</p> <p>Sampled DDEG projects included the following:</p> <ol style="list-style-type: none"> a) Face-lifting of Kanyogoga HC II OPD in Butoloogo S/C – Ugx 20,674,883 b) Grading of playground at Mugungulu Seed Secondary School – Ugx 13,064,960 c) Construction of 2 Classroom blocks at Kabowa P/S – Ugx 19,941,450 d) Construction of Staff House at Kabowa P/S, Kitenga S/C – Ugx 24,482,132 e) Repair of Works service bay – Ugx 25,681,350 	2

Investment
Performance

Maximum 4 points on
this performance
measure

b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,

score 2 or else score 0

The variations in the contract price to for all the DDEG projects reviewed was within +/- 20% of the LG Engineers estimates

3 DDEG projects sampled

These are the details of the projects reviewed.

1. Project Name: Construction of Access Control Uniport at the District HQ in Kaweeri

Contract No: Mube541/Wrks/19-20/00026

Approved under: Min 44/03/19-20

Contract Price: 5,758,400

Engineer's Estimate:5,841,000

Price Variation: -82,600

Percent Variation: -1.41%

Comment: Variation is within the limits of +/- 20%

2. Project Name: Partial Renovation of Mechanical Section Building, Works Service Bay and Fence at Works Department Premises at Kaweeri

Contract No: Mube541/Wrks/19-20/00023

Approved under: Min 44/03/19-20

Contract Price: 27,033,000

Engineer's Estimate:27,042,060

Price Variation: -9,060

Percent Variation: -0.03%

Comment: Variation is within the limits of +/- 20%

3. Project Name: Construction of a 2 unit Staff House at Kabowa Primary School in Kibalunga S/C, Phase I

Contract No: Mube 541/Wrks/19-20/00014

Approved under: Min 20/11/19-20

Contract Price: 46,761,665

Engineer's Estimate:46,659,611

Price Variation: 102,054

Percent Variation: 0.22%

Comment: Variation is within the limits of +/- 20%9

Performance Reporting and Performance Improvement

4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,</p> <p>score 2 or else score 0</p>	<p>The information on the positions filled in LLGs as per minimum staffing standards was not accurate.</p> <p>The staff list from the HRM Division for the 3 sampled LLGs namely;</p> <p>Kibalinga had 10 staff while the one from HRM for Kibalinga had 9 staff. Nyombi Daniel Agricultural Officer and Nakawesi Benna Parish chief were missing on the HRM list. Also the Bagezza S/C list had Disan Office Attendant who was missing from the HRM list. The Kasambya T/C list had 28 staff and the list from HRM had 30 staff.</p>	0
4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> • If 100 % in place: Score 2, else score 0. <p>Note: if there are no reports produced to review: Score 0</p>	<p>The report from the planner to CAO dated 28th June 2020 indicated that all the 3 infrastructure projects constructed using DDEG funds were 100% complete.</p> <ol style="list-style-type: none"> 1) Construction of 2 classroom blocks at Kabowa P/S in Kitenga S/C – Cost UGX 47,000,000 2) Construction of Sports field at Mugungulu Seed Secondary School – Cost UGX 39,718,800 3) Installation of 2 10,000-Litres water tanks at District Head-quarters 	2
5	<p>Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p>	Not Applicable.	0

5	Reporting and Performance Improvement Maximum 8 points on this Performance Measure	b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results. Score: 2 or else score 0	There was no evidence that the District had developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.	0
5	Reporting and Performance Improvement Maximum 8 points on this Performance Measure	c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY: Score 2 or else score 0	No implementation of the PIP for the 30 % lowest performing LLGs in the previous FY was done. The PIPs were not developed.	0

Human Resource Management and Development

6	Budgeting for and actual recruitment and deployment of staff Maximum 2 points on this Performance Measure	a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th, with copy to the respective MDAs and MoFPED. Score 2 or else score 0	There was no evidence that the LG had consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th, with copy to the respective MDAs and MoFPED.	0
7	Performance management Maximum 5 points on this Performance Measure	a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI): Score 2 or else score 0	There was evidence that the District conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI): There was a summary of attendance to duty of LG staff from July 2019 to July 2020. The summary had number of working days, days worked and days absent signed by Mr.Mulindwa Michael.	2

Performance management

Maximum 5 points on this Performance Measure

i. Evidence that the LG has conducted an appraisal with the following features:

HODs have been appraised as per guidelines issued by MoPS during the previous

FY: Score 1 or else 0

There was no evidence that the LG HoDs were appraised as per guidelines issued by MoPS during the previous FY.

The HoDs who were not appraised included;

Ag District Commercial Officer Namirembe Sylvia,

Senior Procurement Officer Ssemwogerere Issa,

Principal Human Resource Officer (DSC) Anjutiko Jimmy,

District Health Officer Ssemujju Deo Lubowa,

Senior Land Management Officer Nakibinge Jamil,

Principal Internal Auditor Kyewalabye Godfrey and

District Natural Resource Officer Kinene Vincent.

Those who were appraised included;

Chief Finance Officer Ndabirawo Patrick appraised on 16/7/2020,

District Community Development Officer Ndagire Mary Noeline appraised on 21/8/2020,

District Engineer Muzibira John Baptist Lusiba appraised on 11/8/2020 and

DEO Kayiwa Benson appraised on 17/8/2020.

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to “a” above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>There was evidence that Administrative rewards and sanctions were implemented as provided for in the guidelines.</p> <p>Examples of cases handled included;</p> <p>In the Minutes of Rewards and Sanctions Committee held on 22/1/2020, Ms. Lubega Teddy a Head teacher appeared before the committee for lack of Integrity, Mismanagement of PTA Funds and Insubordination. She denied all the cases and she was reduced in rank from Head teacher to attachment to another school as Deputy Head teacher.</p> <p>Second Quarter Rewards and Sanctions Committee report (1st October- 31st December 2019) dated 16/1/2020;</p> <p>Ms Nabankema Josephine Assistant Inventory Management officer had cases of Hiding Official Documents and delaying payment process among others. These cases were handled under Min No. 4/10/4/2019 (i) and Min NO. 07/08/2019.</p>	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>The LG had established a functional Consultative Committee (CC) for staff grievance redress. Appointment letters for the committee members dated 5/7/2018 were available in the Staff Grievances redress file. Minutes of grievance redress committee held on 22nd August 2019 dated 28/8/2019 handled a case for Kiwanuka Paddy vs Kusiima Justine in Min No. 4/08/2019 and resolved in Min No. 5/6/2019 and action was in Min 6/8/2019.</p>	1
8	<p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p>	<p>All staff recruited during the previous FY accessed the salary payroll not later than two months after appointment.</p> <p>Education assistants Komugisha Doreen, Nanyondo Alice, Bwambale Stanley, Katamba Patrick, Nakabito Veria and Nakamyia Olive were appointed on 1/7/2019 and accessed payroll on 28/9/2019.</p>	1

Pension Payroll management

Maximum 1 point on this Performance Measure or else score 0

a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:
Score 1.

The evidence provided showed that all staff that retired during the previous FY did not access the pension payroll within two months after retirement.

Ssewante Geresomu retired on 23/1/2020 and accessed pension payroll in May 2020,

Karuhanga Serepio retired on 2/2/2020 and accessed pension payroll in March 2020 ,

Mbeeta Mathias retired on 25/2/2020 and accessed pension payroll in June 2020,

Matovu Sarah Nassaka retired on 5/4/2020 and accessed pension payroll in June 2020 ,

Okwalinga Eede William retired on 17/7/2019 and accessed pension payroll in June 2020 and

Baale Richard retired on 1/9/2019 and accessed pension payroll in October 2019.

Management, Monitoring and Supervision of Services.

10

Effective Planning, Budgeting and Transfer of Funds for Service Delivery

Maximum 6 points on this Performance Measure

a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:

Score 2 or else score 0

As per LG approved Budget (Refer to page 4), the amount of DDEG to LLGs was UGX 1,001,364,944 and was executed with 3 quarterly releases of UGX 333,788,315. This was analyzed as follows:

DDEG District - UGX 140,884,004

DDEG Sub-county UGX 192,904,311

UGX 9,068,972 was remitted to the Town Council on a quarterly basis.

2

10

Effective Planning, Budgeting and Transfer of Funds for Service Delivery

Maximum 6 points on this Performance Measure

b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:

Score: 2 or else score 0

Approved warrants by MoFPED were communicated for release to the LLGs within 5 working days from the date of receipt of releases from MoFPED apart from 3rd quarter as follows:

1st quarter approved on 5th August 2019 and warranted on 8th August 2019; 3 days

2nd quarter approved on 10th October 2019 and warranted on 14th October 2019; 4 days

3rd quarter approved on 13th January 2020 and warranted on 27th January 2020; 14 days

0

10	<p>Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>From sampled LLGs, the LG effected payments for the facilities as follows:</p> <p>Qtr 1 - Release date was 8th August 2019 was communicated on 8th August 2019; 1 day</p> <p>Qtr 2-Release date was 14th October and was communicated on 15th October 2019; 1 day</p> <p>Qtr 3-Release date was 27th January 2020 was communicated on 31st January 2020; 5 days</p> <p>Sampled LLGs during quarter 1 included the following:</p> <p>Bageza S/C - Ugx. 10,797,484</p> <p>Butoloogo S/C - Ugx. 26,026,772</p> <p>Nabingoola S/C - Ugx. 22,159,016</p>	2
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence from the planners reports that the LG had mentored LLGs at least once every quarter.</p> <p>TPC meetings in the sub-counties but there was no record or minutes of LG to evidence this.</p>	0
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence that monitoring reports had been discussed during the quarterly TPC meetings.</p> <p>There was one meeting held as below:</p> <p>Meeting held on 30th August 2019 in Kiyuni S/C – Min. 5/30/08/2019 discussed Inspection findings at Kiyuni HC III</p>	0

Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an updated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p>	<p>LG maintained both manual and IFMS Assets Registers for the FY ended 30th June 2020 that included the following assets types: Land, Specialized Buildings, Transport Equipment, Motor Cycles, Furniture, Boats and ICT Equipment.</p> <p>As per the draft financial statements for the FY 2019/20 page 22 – Note 9: Consumption of Property, Plant and Equipment, the following additions were made:</p> <p>Non Residential Buildings UGX 1,373,481,744</p> <p>Residential Buildings UGX 342,802,151</p> <p>Transport Equipment UGX 33,000,000</p> <p>Furniture and Fittings UGX 44,520,700</p> <p>Other Fixed Assets UGX 918,972,537</p> <p>The IFMS format was submitted to Accountant General following a format provided by PS/ST in a letter dated 17th June 2020 Ref: AGO/139/55/20 that prescribed the format of the assets register.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>There was evidence that the Board of Survey report for the FY 2018/2019 submitted to the Accountant General on 27th September 2020 was used to guide the disposal of assets.</p> <p>Recommendations included the following:</p> <p>Board off items</p> <p>Update assets register</p> <p>Repair grounded vehicles</p> <p>Valuation of assets</p> <p>There was evidence from PDU that disposal forms had been prepared and approved by CAO. Also the list of assets to be disposed of were displayed on the LG notice board.</p>	1

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.

LG had submitted 5 sets of minutes as per submission letter to the Commissioner-Planning MoLHUD dated 23rd June 2020 Ref: CR/MUB/214/2/7.

The meetings had been held on the following dates: 21st August 2019, 28th September 2019, 6th November 2019, 4th February 2020 and 25th March 2020.

A Physical Planning Committee was first appointed on 8th October 2012. The committee was constituted as follows:

1. Deputy CAO / Chairperson
2. Physical Planner / Secretary
3. District Staff Surveyor
4. District Engineer
5. District Agricultural Officer
6. District Water Officer
7. District Community Development Officer
8. District Health Officer
9. District Natural Resources Officer
10. District Education Officer
11. Private Physical Planner (Appointed in letter dated 7th September 2020 Ref: CR/MUB/214/2/7)

A building Plan Register existed and was last updated on the 28th October 2020 and new investment were considered within 30 days after submission.

A Physical Development Plan for Kyenda Trading Centre was approved on 28th October 2020 under Min. 05/10/28/2020/21 (5.3.4) at a cost of UGX 20,000,000

12	<p>Planning and budgeting for investments is conducted effectively</p>	<p>d. For DDEG financed projects; Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the LG Development Plan; (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p>	<p>There were desk appraisal reports that the investments were derived from the DDP and Budget as follows:</p> <p>Construction of 2-Unit staff house at Kabowa P/S in Kitenga S/C was in the DDP (Page 134) and Approved Budget (Page 34) – UGX 45,667,267</p> <p>Construction of Sport Field at Mugungulu Seed Secondary School was in the DDP (Page 135) and Approved Budget (Page 35)</p>	2
<p>Maximum 12 points on this Performance Measure</p>	<p>Score 2 or else score 0</p>			
12	<p>Planning and budgeting for investments is conducted effectively</p>	<p>For DDEG financed projects: e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p>	<p>There was evidence that LG had conducted field appraisals for all Development Projects as per letter to CAO dated 2nd July 2019 – “Desk and Field Appraisal for all Development Projects” for environmental and social acceptability.</p> <p>Construction of staff house at Kabowa P/S – Field appraisal and environmental screening conducted by DEO, CDO and Environment Officer on the 2nd July 2019.</p> <p>Construction work at Kigando HC II - Field appraisal and environmental screening conducted by DHO, CDO and Environment Officer on the 23rd July 2019.</p> <p>Drilling Borehole at Kabbo in Kasambya S/C - Field appraisal and environmental screening conducted by DWO, CDO and Environment Officer on the 8th August 2019.</p>	2
<p>Maximum 12 points on this Performance Measure</p>	<p>Score 2 or else score 0</p>			
12	<p>Planning and budgeting for investments is conducted effectively</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p>	<p>For the FY 2020/21, there was no evidence that project profiles that had been developed were discussed in TPC.</p>	0
<p>Maximum 12 points on this Performance Measure</p>	<p>Score 1 or else score 0.</p>			

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG carried out Environmental, Social and Climate Change screening. Seven Screened projects presented signed by both the Environment Officer and Community Development Officer. Among these included:</p> <ol style="list-style-type: none"> 1) Rehabilitation of a 2-classroom block at Kasambya DAS P/S, screened on 2nd July 2019; 2) Construction of a 2-classroom block at Lwawuna P/S, screened on 02 July 2019; 3) Construction of a 2-Unit staff house at Kabowa P/S, screened on 2nd July 2019; 4) Construction of a 2-Unit staff house at Katega P/S, screened on 2nd July 2019; and 5) Construction of a 2-classroom block at Biwalwe P/S. 	2
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>All infrastructure projects for the current FY that are to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Sampled projects under DDEG in approved Procurement Plan</p> <ol style="list-style-type: none"> 1. Construction of a 4 Stance Pit Latrine at Kiwumulo P/S 2. Completion of Staff Quarters at Kiboyo P/S 3. Renovation of Water Tank at Kasambya HC II <p>Note: DDEG projects for FY 20/21 obtained from the Submission of Mubende DLG Procurement Plan 2020/2021 to PPDA dated 07-Sept-2020 and signed off by the CAO, Lilian Nakamate, Pages 4 and 5 of Procurement Plan</p>	1

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0	<p>All infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction.</p> <p>The following projects on the Procurement Plan appeared in the Contracts Committee Minutes.</p> <p>1. Project: Construction of a 4 Stance Pit Latrine at Kiwumulo P/S</p> <p>Approved under: Min 08/02/27/2019/20</p> <p>2. Project: Completion of Staff Quarters at Kiboyo P/S</p> <p>Approved under: Min 08/02/27/2019/20</p> <p>3. Renovation of Water Tank at Kasambya HC II</p> <p>Approved under: Min 08/02/27/2019/20</p>	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines: Score 1 or else 0	<p>There is no evidence that the LG properly established the Project Implementation Team as specified in the sector guidelines.</p> <p>The only member of the team was the DE who was appointed as Contracts Manager for the projects.</p>	0

Procurement, contract management/execution
Maximum 8 points on this Performance Measure

d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:

Score 1 or else score 0

There was no evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer.

Sampled project was:

Standard Drawings: 3 Unit Staff House at Kiyita HC II

Door: Width - 0.9m, Height - 2.4m

Windows: Width - 0.9m, Height - 1.2m

Splash Apron: 0.4m

Veranda: 1.5m

Measured Dimensions: 3 Unit Staff House at Kiyita HC II

Door: Width - 0.93m, Height - 2.3m

Windows: Width - 0.86m, Height - 1.24m

Splash Apron: 0.4m, 0.38m

Veranda: 1.6m

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0

The LG provided evidence of supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY.

The projects below were reviewed and found to have attendance o relevant technical officers:

1. Project Name: Construction of Kagando Seed Secondary School

Contractor: Kaleeta Construction Ltd

Date of Site Meeting: 03-Sept-2019

In Attendance: PM, DE, CoW, School Staff, EO,SME

Signed off by: J B Kanya

2. Project Name: Construction of Kagando Seed Secondary School

Contractor: Kaleeta Construction Ltd

Date of Site Meeting: 24-July-2019

In Attendance: DE, PM, CE, Contractor, CoW, DEO

Signed off by: J B Kanya

Only two progress meetings were sampled.

Procurement, contract management/execution
Maximum 8 points on this Performance Measure

f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):

Score 1 or else score 0

The LG did not verify works (certified) and initiate payments of contractors within specified timeframes as per contract for all Contracts within 2 months if no agreement)

Sample projects:

1. Project Name: Construction of a 2 Classroom block at Mirembe Agape P/S in Kitenga S/C

Proc No: Mube541/Wrks/19-20/00017

Contractor: Nadhiff Establishments Ltd

Date of payment request: 06-Feb-2020

Date when paid: 20-April-2020

Amount: 24,794,836

2. Project Name: Construction of a 2 Classroom Block at Biwalwe P/S in Butoloogo S/CS

Proc No: Mube541/Wrks/19-20/00015

Contractor: Kabosi Farmers and Contractors Ltd

Date of payment request: 04-June-2020

Date when paid: 25-June-2020

Amount: 47,060,029

3. Project Name: Construction of a 2 Unit Staff House and a 2 Stance VIP Latrine at Katega P/Sin Kigando S/C

Proc No: Mube541/Wrks/19-20/00013

Contractor: Sakal Investments Ltd

Date of payment request: 18-May-2020

Date when paid: 02-June-2020

Amount: 28,305,018

Procurement, contract management/execution
 Maximum 8 points on this Performance Measure

g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:
 Score 1 or else 0

The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law.

Sampled procurement files include:

1. Proj Name: Renovation of a Maternity Unit at Madudu HC III in Madudu S/C

Proc No: Mube541/Wrks/19-20/00031

Contractor: Nadhiff Establishments Ltd

Contract Price: 33,107,319

Date of Contract Award: 14-April-2020

Approved Under: Min 44/03/19-20

Date of Evaluation Report: 24-Mar-2020

Signed by Sekyole John who was the Chairperson of the Evaluation Committee.

2. Proj Name: Renovation of Kanyogoga HC II in Butoloogo S/C

Proc No: Mube541/Wrks/19-20/00032

Contractor: Kabosi Farmers and Contractors Ltd

Contract Price: 21,763,035

Date of Contract Award: 14-April-2020
 signed by CAO

Approved Under: Min 44/03/19-20

Evaluation Report: 24-Mar-2020 Signed by Sekyole John who was the Chairperson of the Evaluation Committee.

3. Proj Name: Completion of a Staff House at Kiyita HC II in Nabingoola S/C

Proc No: Mube541/Wrks/19-20/00033

Contractor: Jeet General Supplies and Contractors Ltd

Contract Price: 38,907,522

Date of Contract Award: 14-April-2020
 signed by CAO

Approved Under: Min 44/03/19-20

Date of Evaluation Report: 24-Mar-2020

Signed by Sekyole John who was the Chairperson of the Evaluation Committee.

14	Grievance redress mechanism operational.	a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.	There was evidence that the District had a designated a person to coordinate response to feed-back. Mr. Senyonjo Robert, the Bio-Statistician (Health) was appointed on 5th July 2018 as the Chairperson/Focal person to the Grievance redress Committee. The appointment letter was signed by Ms. Lillian Nakamatte, CAO Mubende.	2
	Maximum 5 points on this performance measure	Score: 2 or else score 0	There was also evidence that the LG had established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant. Four members were appointed to the GRC. These were: 1) District Internal Auditor, 2) Principal veterinary officer, 3) Senior Education officer, and 4) Accountant (secretary).	
14	Grievance redress mechanism operational.	b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.	There was no Grievance Log in place.	0
	Maximum 5 points on this performance measure	If so: Score 2 or else 0		
14	Grievance redress mechanism operational.	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.	This was only tried on the District Platform. There were no other places where the GRC publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.	0
	Maximum 5 points on this performance measure	If so: Score 1 or else 0		

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0</p>	<p>For the FY 2019/2020, there was evidence that Environmental, Social and Climate change interventions had been incorporated in the LG Budgets and Work plans. UGX 10,480,000 was budgeted for Under the Natural Resources department budget for Monitoring and Evaluation of Environmental Compliance – Output 098309</p> <p>Sampled projects included the following:</p> <p>Construction of maternity ward at Kituule HC II in Butoloogo S/C</p> <p>Construction of classroom block at Butayunja DAS P/s I Kienga S/C</p> <p>Construction of classroom block at Kattambogo P/S in Kigando S/C</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management</p> <p>score 1 or else 0</p>	<p>There was evidence that the enhanced DDEG guidelines for the FY 2020/21 were disseminated to SASs, Heads of Departments and CDOs as per the acknowledgement copy observed and also discussed during TPC held on 27th August 2020 under Min. 08/8/2020</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>(For investments financed from the DDEG other than health, education, water, and irrigation):</p> <p>c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:</p> <p>score 3 or else score 0</p>	<p>There was evidence that other than projects in health, education, water, and irrigation, the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY.</p> <p>The projects costed included:</p> <p>1 Procurement of banana tissue culture suckers;</p> <p>2) Procurement of Fish feed pelleting machine; and</p> <p>3) Perimeter fencing of Kanyogoga Valley Dam, costed at UGX4,000,000/=.</p>	3

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>d. Examples of projects with costing of the additional impact from climate change.</p> <p>Score 3 or else score 0</p>	<p>There were examples of projects with costing of the additional impact from climate change. These included:</p> <ol style="list-style-type: none"> 1) Procurement of banana tissue culture suckers; 2) Procurement of Fish feed pelleting machine; and 3) Establishment of a Nursery Bed. 	3
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>e. Evidence that all projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 1 or else score 0</p>	<p>There was evidence that all projects were implemented on land where the LG had proof of ownership, access, and availability. Land titles availed were for:</p> <ol style="list-style-type: none"> 1) Kasambya T/C Headquarters on Plot 174, Block 323, Vol/Folio HQT1696/12, Kasambya, Buwekula County; 2) Kasambya Market, on Plot 173, Block 323, Vol/Folio HQT1696/14, Kasambya, Buwekula County; 3) Nalutuntu Market, on Plot 36, Block 317, Vol/Folio HQT563/8, Nalutuntu, Singo County; 4) Kitenga Sub County LG, on Plot 45, Block 363, Vol/Folio HQT1842/14, Kyenda, Buwekula County; and 5) Boma Pine Forest, on Plot 659, Block 221, Vol/Folio HQT1864/1, Boma Hill, Buwekula County. 	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 1 or else score 0</p>	<p>There was evidence that the Environment Officer and CDO conducted support supervision and monitoring to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports. Reports presented were:</p> <ol style="list-style-type: none"> 1) Sensitization and inspection of two classroom block at Lwawuna primary school, dated March 29th 2020; 2) Inspection of Works at Kasambya DAS primary school, dated May 29th 2020; and 3) Inspection of Kigando Seed secondary school in Kiganda sub county dated June 24th 2020. 4) Renovation of OPD at Nkandwa HC II, Kibalanga S/County, dated 5/5/2020; 5) Renovation of OPD at Nkandwa HC II, Kibalanga S/County, dated 7/5/2020; and 6) Report on upgrade of HC IIs to HC IIIs for Butawata and Butoloogo Health Centres in Mubemde District, dated 28/7/2020. 	1

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	There was evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects. Among those presented included: 1) Renovation of Butawata OPD, dated 10/06/2020. It was Certification Form No: 2019/013; 2) Renovation of Nkandwa OPD dated 11/06/2019. It was Certification Form No: 2019/012; 3) Construction of Butawata HC III, dated 10/06/2020. It was Certification Form No: 2019/0113; 4) Renovation of Kakigando HC II, dated 11/06/2020. It was Certification Form No: 2019/013; and 5) Construction of staff house at Kiyita HC II, dated 10/06/2020. It was Certification Form No: 2019/014.	1
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Financial management

16	LG makes monthly Bank reconciliations Maximum 2 points on this Performance Measure	a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment: Score 2 or else score 0	For the FY 2019/2020 bank reconciliations were up to 30th June 2020 had been reconciled on 15th August 2020. For the FY 2020/21, bank reconciliations had been done till July 2020 and hence not up-to-date. Sampled bank accounts included the following: 1. District Treasury Single Account 2. District General Fund Account 3. ACDP Account (In Centenary Bank)	0
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LG executes the Internal Audit function in accordance with the LGA Section 90

Maximum 4 points on this performance measure

a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.

Score 2 or else score 0

LG has produced all 4 quarterly internal audit reports evidenced as follows:

1st Quarter on 15th October 2019;

2nd Quarter on 15th January 2020

3rd Quarter on 15th May 2020

4th Quarter on 15th July 2020

Issues raised included the following:

Quarter 1

1. Untimely completion of construction works – Butawata and Butoloogo HC IIs

2. Inadequate budget funds for drugs by National Medical Stores

3. No budget for Physical Planning Committee

Quarter 2

1. No "PAID" Stamp on all payment vouchers

2. Non-rehabilitated water sources

3. Expired appointments of trial teachers

4. Low local revenue performance

Quarter 3

1. Failure to destroy outdated receipts

2. Incomplete fixed assets register

3. Wasteful expenditure in stores

Quarter 4

1. Inadequate minimum standard for inventory of medical equipment

2. Fund utilization of UGX 165,530,299 for combating COVID-19

3. Unutilized land at Kiyumi HC II

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>There was evidence that LG had followed up on status of implementation of ALL internal audit findings as observed from the audit reports of the subsequent quarters as follows:</p> <p>Quarter 2 – See Page 5 for follow up of Q1 queries</p> <p>Quarter 3 - See Page 4 for follow up of Q2 queries</p> <p>Quarter 4 - See Page 5 for follow up of Q3 queries</p>	1
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17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>There was evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC had reviewed them and followed-up on IA recommendations apart from the 4th quarter report which was not submitted by the LGPAC.</p> <p>LG PAC meetings were held on the following dates:</p> <p>11th -12th March 2020: Discussed 1st quarter report.</p> <p>24th – 25th June 2020: Discussed 2nd quarter report.</p> <p>11th – 12th November 2020: discussed 3rd quarter report.</p>	0
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Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>Actual Local Revenue collected during the FY 2019/20 was UGX 560,113,755 as per the Statement of Financial Performance - Page 20 of the draft financial statements against a budget of UGX 689,714,290 as per the Approved Budget Estimates– Page 1. This was a deficit of UGX 129,600,535 that represents 19% under-realization which is more than +/- 10%.</p>	0
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19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>LG OSR decreased from UGX 569,009,740 collected during the FY 2018/19 (as per Audited Accounts – (Pg. 41 – Note 2: Local Revenue collected) compared to UGX 560,113,755 collected during the FY 2019/20 which represented an 2% decrease i.e. UGX 8,895,985.</p>	0
20	<p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p>	<p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p>	<p>LG had remitted the mandatory shares of Land Premium releases and Local Revenue as per Revenue Sharing statements, payment vouchers and acknowledgement receipts obtained analyzed as follows:</p> <p>Total Revenue collected as per financial statements was UGX 560,113,755. The amount remitted to the LLG was 65% x 599,885,897 = UGX 389,925,830.</p> <p>LG remitted more than the mandatory share to the LLGs.</p>	2

Transparency and Accountability

LG shares information with citizens

Maximum 6 points on this Performance Measure

a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0

There was evidence that the procurement plan and awarded contracts and all amounts are published on the notice boards. Copies of publications were availed on file.

Sampled projects:

1. Proj Name: Renovation of a Maternity Unit at Madudu HC III in Madudu S/C

Proc No: Mube541/Wrks/19-20/00031

Date of Display: 26-Mar-2020

Date of Removal: 08-Apr-2020

Best Evaluated Bid: Nadhiff Establishments Ltd

2. Proj Name: Renovation of Kanyogoga HC II in Butaloogo S/C

Proc No: Mube541/Wrks/19-20/00032

Date of Display: 26-Mar-2020

Date of Removal: 08-April-2020

Best Evaluated Bid: Kabosi Farmers and Contractors Ltd

3. Proj Name: Completion of a Staff House at Kiyita HC II in Nabingoola S/C

Proc No: Mube541/Wrks/19-20/00033

Date of Display: 26-Mar-2020

Date of Removal: 08-April-2020

Best Evaluated Bid: Jeet General Supplies and Contractors Ltd

LG shares information with citizens

Maximum 6 points on this Performance Measure

b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0

The LG had displayed previous LG assessment results on the notice board with overall performance of 77% and overall position of 32. These results were discussed in TPC held on 23rd July 2020 under Min. 08/07/2020 – “Discussing dissemination of NAT results for 2018/19”.

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>There was evidence that the LG had conducted discussions with the public to give feedback on status of activity implementation as follows:</p> <ol style="list-style-type: none"> 1. Budget conference held on 4th October 2019 at the District Council Hall. 2. Accountability day - Chairperson's Address to Council that was aired on all local radio stations on 25th June 2020. 	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>Information on tax rates, collection procedures and appeal procedures were displayed on the Public Notice Boards and also provided in the agency agreements.</p>	1
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared an IGG report which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>There were no IGG-related issues.</p>	1

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>There was evidence that the PLE pass rate improved by 5.0% between the previous year but one and the previous year as calculated below:</p> <p>2018: (DIV 1: 330; DIV 2: 1610; DIV 3: 997; TOTAL PASS: 2937; TOTAL CANDIDATES: 4559).</p> <p>2019: (DIV 1: 221; DIV 2: 1895; DIV 3: 1093; TOTAL PASS: 3209; TOTAL CANDIDATES: 4627). Therefore, the calculated percentage for 2018 was $(2937/4559 \times 100) = 64.4\%$ while the calculated percentage for 2019 was $(3209/4627 \times 100) = 69.4\%$. Hence, the percentage improvement was $69.4\% - 64.4\% = 5.0\%$.</p>	2
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>There was evidence that the UCE pass rate had declined by 2.4% between the previous year but one and the previous year as calculated below:</p> <p>2018: (DIV 1: 15; DIV 2: 100; DIV 3: 212; TOTAL PASS: 327; TOTAL CANDIDATES: 909).</p> <p>2019: (DIV 1: 13; DIV 2: 77; DIV 3: 159; TOTAL PASS: 249; TOTAL CANDIDATES: 742).</p> <p>Therefore, the calculated percentage for 2018 was $(327/909 \times 100) = 35.9\%$ while the calculated percentage for 2019 was $(249/742 \times 100) = 33.5\%$. Hence, the percentage decline was $33.5\% - 35.9\% = -2.4\%$.</p>	0
2	<p>Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 2 • Between 1 and 5% score 1 • No improvement score 0 	Not applicable	0
3	<p>Investment Performance: The LG</p>	<p>a) If the education development grant has been used on eligible</p>	<p>There was evidence that the Education development grant was use on eligible activities</p>	2

has managed education projects as per guidelines

Maximum 8 points on this performance measure

activities as defined in the sector guidelines: score 2; Else score 0

as defined in the Sector Guidelines. The SFG mounted up to UGX 501,0044,214= while that of the UGIFT was UGX 653,025,649 for FY 2019/2020.

The educational guidelines followed were 'planning, Budgeting and Implementation guidelines for Local government for education sector of MoES-May 2019.

Evidence was obtained from the approved budget estimate approved on 7th /5/2019 signed by Kibuuka Francis B. Amooti, the District Chairperson Mubende District Council. The grant was used to construct projects like:

1. Construction of 2 classroom blocks at Lwawuna P/S in Nabingoola S/C under procurement ref. no. Mube541/wrks/19-20/00016 contracted by KIBAMA (U) LTD commencing on 18th /11/2019 to 30th /4/2020 at UGX 78,992,740= (SFG);

2. Construction of 2 classroom blocks at Biwalwe P/S in Butoloongo S/C under procurement ref. no. Mube541/wrks/19-20/00023 contracted by KABOSI FARMERS & CONTRACTORS LTD commencing on 18th /11/2019 to 30th /4/2020 at UGX 78,810,645= (SFG);

3. Construction of 2 classroom blocks at Mirembe Agape P/S in Kitenga S/C under procurement ref. no. Mube541/wrks/19-20/00017 contracted by NADHIIF ESTABLISHMENT LTD commencing on 18th /11/2019 to 30th /4/2020 at UGX 78,968,386= (SFG);

4. Renovation of 2 classroom blocks at Kasambya DAS P/S in Kasambya S/C under procurement ref. no. Mube541/wrks/19-20/00024 contracted by JJEMUVA ENTERPRISES LTD commencing on 14th /4/2019 to 14th /7/2020 at UGX 33,249,857= (SFG);

5. From DDEG, construction of 2 unit 2 bedroomed staff houses at Kabowa P/S phase 1 in kibalinga S/C under ref. no. mube541/wrks/19-20/00014 contracted by SSEKAMATE H&GROUP Co. LTD from 11/12/2019-30/4/2020 at 46,761,761,655=;

6. From DDEG, construction of a sports field at Mugungulu seed secondary school in Bageza S/C under ref. no. mube542/wrks/19-20/00025 from 21st /4/2020 to 14th /7/2020 at 14,952,960= contracted by SIFULT ENTERPRISES LTD; and

7. From UGIFT, Construction of Kigando seed school by HASO ENGINEERS Co LTD under ref. no. MoES/wrks/18-19/00119 commencing on 12th /6/2019 – 12th /7/2021 at 2,022,681,954=.

Investment
Performance: The LG
has managed
education projects as
per guidelines

Maximum 8 points on
this performance
measure

b) If the DEO, Environment
Officer and CDO certified works
on Education construction
projects implemented in the
previous FY before the LG made
payments to the contractors
score 2 or else score 0

There was no evidence that the CDO and
Environment Officer certified works on Education
projects. Sampled payment requests for
infrastructure projects were initiated and
executed as follows:

Construction of 2-Classroom block at Biwalwe
P/S in Butolooogo S/C – Was certified by DE and
DEO on 6th February 2020 and CAO on 14th
February 2020 and paid on 5th May 2020 – UGX
26,135,839 i.f.o Kabosi Farmers and Contractors

Construction of 2-Classroom block at Lwamuna
P/S in Nabingoola S/C - Was certified by DE and
DEO on 6th April 2020 and paid on 20th April
2020 – UGX 33,362,462 i.f.o Kibama (U) Ltd

Construction of Kigando Seed Secondary
School in Kigando S/C - Was certified by DE and
DEO on 4th December 2019, by CAO on 6th
December 2019 and paid on 19th December
2019 UGX 383,593,337 i.f.o HASO Engineers
Co. Ltd.

Investment
Performance: The LG
has managed
education projects as
per guidelines

Maximum 8 points on
this performance
measure

c) If the variations in the contract
price are within +/-20% of the
MoWT estimates score 2 or else
score 0

The variations in contract price of sampled
works/supplier for the previous FY contracts are
all within +/-20% of the LG Engineers estimates

The following are the sampled projects.

1. Project Name: Construction of a 2 Classroom
Block at Mirembe Agape P/S in Kltega S/C

Contract No: Mube541/Wrks/19-20/00017

Approved under: Min 13/10/19-20

Contract Price: 78,968,386

Engineer's Estimate:79,120,632

Price Variation: -152,246

Percent Variation: -0.19%

Comment: Variation is within the range of +/-20%

2. Project Name: Construction of a 2 Classroom
Block at Biwalwe P/S in Butoloogo S/C

Contract No: Mube541/Wrks/19-20/00015

Approved under: Min 13/10/19-20

Contract Price: 78,810,645

Engineer's Estimate:79,120,632

Price Variation: -310,287

Percent Variation: -0.39%%

Comment: Variation is within the range of +/-20%

3. Project Name: Construction of a 2 Unit Staff
House and a 2 Stance VIP Latrine at Katega
Primary School in Kigando S/C

Contract No: Mube541/Wrks/19-20/00013

Approved under: Min 13/10/19-20

Contract Price: 96,991,986

Engineer's Estimate:97,638,439

Price Variation: -646,453

Percent Variation: -0.66%

Comment: Variation is within the range of +/-20%

Investment
Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

d) Evidence that education projects were completed as per the work plan in the previous FY

- If 100% score 2
- Between 80 – 99% score 1
- Below 80% score 0

Education projects, for the previous FY, were all completed as per work plan/Consolidated procurement plan.

100% of the projects were completed (13/13*100%).

The following projects were completed;

1. Renovation of a 2 Classroom Block at Kasambya DAS P/S Kasambya TC
2. Construction of a 2 Classroom Block at Mirembe Agape P/S in Kitenga S/C
3. Construction of a 2 Classroom Block at Biwalwe P/S in Butoloogo S/C
4. Construction of a two Classroom block at Lwawuna P/S in Nabingoola S/C
5. Construction of a Staff House at Katega P/S in Nabingoola S/C
6. Construction of a Staff House at Kabowa P/S in Kibalinga S/C (Phase I)
7. Construction of a 3 Stance Pit Latrine at Maya P/S
8. Construction of a 4 Stance VIP Latrine at CAWODISA P/S
9. Construction of a 4 Stance VIP Latrine at Mawujjo P/S
10. Construction of a Staff House at Dyangoma P/S Phase I
11. Construction of a 3-Stance Pit Latrine at Makukulu P/S
12. Construction of a 3-Stance Pit Latrine at Kitokota P/S
13. Construction of a 3-Stance Pit Latrine at Biwalwe P/S

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 – 79% score: 1 • Below 70% score 0 	<p>There was evidence that the LG had recruited primary school teachers as per the prescribed MoES staffing guidelines. The information from the staffing levels for Mubende LG as of June, 2020 dated 30th/6/2020.</p> <p>Approved structure= 1042 Posts.</p> <p>Filled Posts= 902</p> <p>$902/1042 \times 100 = 87\%$</p> <p>87% Posts filled.</p>	2
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4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 	<p>All schools met the basic requirements and minimum standards set out in the DES guidelines ie Basic requirements and minimum standard indicator for education institutions- March 2010. From the submission of information on the school's assets register of 92 schools in Mubende District was updated on 30/7/2020 by DEO Kabuunga Asaph to the CAO.</p> <p>Also from the performance contract;</p> <p>92 ($92/92 \times 100 = 100\%$) UPE schools had met the standards; and</p> <p>81 ($81/92 \times 100 = 88.0\%$) of the head teachers had submitted performance reports for 2019.</p>	3
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Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>There was no evidence that the Mubende Local government accurately reported on teachers and where they are deployed.</p> <p>Below is the verification of deployment by the District and the sampled school to verify deployment as per the minimum standards (Key: - SL- Deployed Staff List; and PV- Physical verification of deployment on ground):</p> <p>-Kasambya DAS P/S with enrolment of 852 at the LG with SL- 14, while at the school, Enrolment is 826 with PV-14.</p> <p>- St. Noa Mugungulu C/U P/S with enrolment of 872 at the LG with SL- 12, while the enrolment at the school was 819 with PV-11.</p> <p>- Kibalinga P/S with enrolment of 840 at the LG with SL- 15, while the enrolment at the school was 840 with PV-15.</p>	0
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Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.

- If the accuracy of information is 100% score 2

- Else score: 0

There was evidence that Mubende LG had a schools Asset register submitted to the Permanent Secretary of MoES on on 30th /7/2020 accurately reporting the school name, EMIS number, Number of classrooms, latrines, desks, laboratories, teacher's accommodation as of 2019/2020.

From the Local Government's assets register,

Kasambya DAS P/S in Kasambya Town council with an enrolment of 852 who submitted the school's asset register on 15/6/2020 had 13 existing classrooms, 2 new classrooms required, 11 needed rehabilitations, 4 stance latrines existing, 4 stance latrine required, 0 need rehabilitation; 150 desks existing, 116 new desks required, 36 need rehabilitation; 7 teacher's accommodation existing, 7 accommodations required, 7 need rehabilitation. At the school level,

St. Noa Mugungulu P/S in Bageza S/C with an enrolment of 872 had 9 existing classrooms, 3 new classrooms required, 4 needed rehabilitation, 3 stance latrines existing, 2 stance latrine required, 2 need rehabilitation; 175 desks existing, 122 new desks required, 30 need rehabilitation; 4 teacher's accommodation existing, 10 new accommodations required, 4 need rehabilitation.

Kibalinga P/S in Kibalinga S/C with an enrolment of 840 had 8 existing classrooms, 4 new classrooms required, 8 needed rehabilitation, 21 stance latrines existing, 6 stance latrine required, 7 need rehabilitation; 193 desks existing, 137 new desks required, 30 need rehabilitation; 4 teacher's accommodation existing, 10 new accommodations required, 4 need rehabilitation.

6	School compliance and performance improvement:	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p>	<p>There was no evidence Mubende District had ensured that all registered primary schools had complied with MoES annual budgeting and reporting guidelines and that they had submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30th. 92 primary schools were registered in the performance contract as per the PBS document at the LG.</p>	0
	Maximum 12 points on this performance measure	<ul style="list-style-type: none"> • If 100% school submission to LG, score: 4 • Between 80 – 99% score: 2 • Below 80% score 0 	<p>Only 42 Registered schools ie ($42/92 \times 100 = 45.6\%$) had submitted their annual Budgeting and expenditure reports.</p>	
6	School compliance and performance improvement:	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p>	<p>There was evidence that UPE schools were supported to prepare and implement SIPs in line with inspection recommendations. All the three sampled schools prepared and implement SIPs in line with Inspection recommendations.</p>	4
	Maximum 12 points on this performance measure	<ul style="list-style-type: none"> • If 50% score: 4 • Between 30– 49% score: 2 • Below 30% score 0 	<p>At Kasabya DAS P/S, the activities that were to be implemented in the SIP included, Iron sheets for administration block upgrading the road to the school, Dormitory rehabilitation, Tree planting, Bee keeping, school garden improvement; while</p> <p>At Kibalinga P/S, the activities in the SIPs included fencing the school, Reliable water sources and availing a first aid kit.</p>	
			<p>There were no SIPs in St. Noa Mugungulu P/S.</p>	
			<p>76 H/Ts submitted their SIPs to the DEO ie ($76/92 \times 100 = 78.2\%$).</p>	
6	School compliance and performance improvement:	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p>	<p>There was evidence that LG collected and compiled EMIS return forms for all registered schools from the previous FY year and information from EMIS data from MoES and it was consistent with that provided by the LG. Both sets of data had 92 UPE schools. $92/(92/92 \times 100) = 100\%$ UPE schools submitted their EMIS data to PBS generated on 18/7/2019 at 6:58 and approved by the CAO Ms. Lillian Nakamate.</p>	4
	Maximum 12 points on this performance measure	<ul style="list-style-type: none"> • If 100% score: 4: • Between 90 – 99% score 2 • Below 90% score 0 		

Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:

Score 4 or else, score: 0

There was evidence that Mubende District Education department budgeted for at least a H/T and a minimum of 7 teachers as per Performance Contract FY 2020/2021. Mubende LG had 92 primary schools and 907 teachers including Head teachers from the PBS. The deployment list by school shows that at least seven (7) teachers were deployed in each primary school as per performance contract. The approved LG budget FY 2019/2020 for Mubende, indicated a wage of UGX 5,945,429,149= as in the annual work plan and budgeted for the H/T and a minimum of 7 teachers per school from the BFP raw data document.

For example;

1. Kasambya DAS P/S had 14 teachers including the head teacher with enrolment of 852;
2. St. Noa Mugungulu P/S with an enrolment of 872 has 12 teachers including the Head teacher; and
3. Kibalinga P/S with an enrolment of 847 has 15 teachers including a Head teacher.
4. Kyakasa P/S with 100 pupils having P1-P3 had 3 teachers.,

Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,

Score 3 else score: 0

There was evidence that the LG accurately reported on Teachers and where they were deployed. From the updated Staff lists submitted to the CAO on 28th /8/2019 by Balinda Khassin B under ref no EDUC/MUB/501/1, the LG had deployed a Head Teacher in all the 92 government primary schools and a minimum of 7 teachers per school (or a minimum of a teacher per class for schools with less than P.7) and a maximum of 13 teachers per school for this current FY 2019/2020. The total number of teachers was 907 and a staff ceiling of 1036 form the staffing guidelines.

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board, score: 1 else, score: 0</p>	<p>There was evidence that the teacher deployment data was disseminated or publicized on District noticeboard as of 28th /7/2020 at the time of assessment. However, from the sampled schools, the deployment list was displayed on the noticeboard in the Head Teachers office for all schools.</p>	1
	<p>Maximum 8 points on this performance measure</p>			
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO Score: 2 or else, score: 0</p>	<p>All primary school head teachers were appraised with evidence of appraisal reports.</p> <p>Kyambadde Alex Kiyungu P/S, Kasagga Juuko Dennis Kanyogoga P/S, Atuhaire Moses Kisojjo P/S, Ssenyonga Girazio Kiruuma P/S and Kapisa Fred Micky Buganyi P/S were appraised on 6/1/2020.</p> <p>Mutebi Everest Kibalinga P/S was appraised on 25/11/2019.</p> <p>Naluyombya Annet St. Don Bosco P/S was appraised on 12/2/2020.</p> <p>Alimo Grace Kyakasa P/S was appraised on 10/3/2020.</p> <p>Serimu Godfrey Buwaata P/S was appraised on 25/2/2020.</p> <p>Wabwire Matthias was appraised on 6/1/2020</p>	2
	<p>Maximum 8 points on this performance measure</p>			
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>b) If all secondary school head teachers have been appraised with evidence of appraisal reports submitted by D/CAO (or Chair BoG) to HRM Score: 2 or else, score: 0</p>	<p>There was no evidence that all secondary school head teachers had been appraised with evidence of appraisal reports submitted by D/CAO (or Chair BoG) to HRM</p>	0
	<p>Maximum 8 points on this performance measure</p>			

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>	<p>All the LG Education department staff were not appraised against their performance plans in the previous FY.</p> <p>Those who were not appraised included;</p> <p>The Senior Inspector of Schools Sebitosi Joseph,</p> <p>Inspector of schools Ssekyambalo Joseph and Office Typist Adini Sarah.</p> <p>Those appraised included;</p> <p>Senior Education Officer Balinda Khasim, Inspectors of Schools Ssaka Roberts and Mabirizi Mohammed and Education Officer Ighangura Kantu were all appraised on 30/6/2020,</p> <p>Education Officer Nasejje Stella and Office Attendant Nuwenyine Patrick were appraised on 14/7/2020 and</p> <p>Pool Stenographer Nalumu Christine was appraised on 15/7/2020.</p>	0
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>There was evidence of training plans to address identified staff capacity gaps at the District level.</p> <p>On 28th /2/2020, all Head teachers of Government primary schools were invited to a training of Head Teachers, Deputies and Senior teachers to empowered them handle challenges related to financial management and staff performance appraisals in schools. The training was to take place from 4th -6th /March 2020 at Kasenyi Church Hall starting at 8am. Invitation was by Balinda Khassim B for the DEO.</p> <p>On 17th/2/2020 under ref. no. EDUC/MUB/212/2, an invitation to a training of H/Ts, Senior women and senior men teachers on implementation of SOPs and SIPs in schools. The training was to take place from 21st – 22/2/2020 at Kasenyi Church Hall starting at 8am. Invitation was by Kayiwa Benson the DEO.</p>	2

Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>The LG had confirmed in writing the list of schools, their enrolment and budget allocation in the PBS by December 15th Annually. The list of submission to PBS has a list of 92 primary schools, their enrolment and Budget allocation. The list submitted to MoES had 92 UPE schools showing enrolment of each school, EMIS number, centre number etc signed by the DEO, Planner and CAO on 13th /9//2019.</p>	2
<p>Maximum 8 points on this performance measure</p>				
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p>	<p>There was evidence that the LG had Annual work plan and Budget for FY 2019/2020. The inspection was budgeted for UGX 39,616,001= as received by DES on 5/7/2019. The inspectorate made allocations to monitoring and supervision of primary and secondary.</p> <p>The inspection and monitoring functions were in line with sector guidelines ie the Handbook for school inspection by DES printed on 2016 and MoES DES guidelines for inspection of Education institutions on SOPs by DES –Oct 2020. The activities conducted included;</p> <p>Allowances/SDA at UGX 10,879,223=;</p> <p>Printing, stationary, Photocopying and binding at UGX 993,334=;</p> <p>Vehicle/motorcycle maintenance at UGX 2,861,882=;</p> <p>Disseminations inspection findings at UGX 2,983,443=;</p> <p>Submission of accountability/report UGX at 1,529,800=;</p> <p>Fuel, lubricants and Oils at UGX 18,804,314=; and</p> <p>H/Ts & Departmental/sector meetings at UGX 1,564,000=.</p>	2
<p>Maximum 8 points on this performance measure</p>				

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p>	<p>Approved warrants by MoFPED were submitted for release for school's capitation grants as follows:</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else score: 0</p>	<p>1st quarter approved on 5th August 2019 and warranted on 27th August 2019; 22 days</p> <p>2nd quarter approved on 13th January 2020 and warranted on 24th January 2020; 11 days</p> <p>3rd quarter approved on 15th April 2020 and warranted on 18th May 2020; 33 days</p>	
			<p>This was beyond the time frame of 5 days.</p>	
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p>	<p>Approved warrants by MoFPED were submitted for release for school's capitation grants as follows:</p>	0
	<p>Maximum 8 points on this performance measure</p>	<p>If 100% compliance, score: 2 else, score: 0</p>	<p>1st quarter was approved on 5th August 2019 and warranted on 27th August 2019 of Ugx 228,344,000; 22 days</p> <p>2nd quarter was approved on 13th January 2020 and warranted on 24th January 2020 of Ugx 235,908,094; 11 days</p> <p>3rd quarter was approved on 15th April 2020 and warranted on 18th May 2020 of Ugx 235,018,094; 33 days</p>	
			<p>This was beyond the timeframe 5 days.</p>	
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <p>• If 100% compliance, score: 2, else score: 0</p>	<p>There was evidence that the LG Education department prepared an inspection plan and meetings conducted to plan for school inspections.</p> <p>Inspection preparatory meeting for term 1 2020 held on 29th /1/2020 shows allocation of school inspection funds and deployment of the inspectors. I.e,</p> <ol style="list-style-type: none"> 1. Ssekyabalo Joseph (Ag. DIS) was to oversee the inspection in the District; 2. Mbirizi Muhammad(IS) was deployed in all government and private primary and secondary schools in Kiyuni, Kigando and Kasambya; 3. Ssaka Roberts (IS) was deployed in Nabigoola S/C, Kigando and Kitenga S/Cs to all government and private primary and secondary schools; and 	2

4. Igangura Sadrack K (AA) was deployed in Kiyuni S/C.

From the inspectorate work plan 2019/2020 of Term ceiling of 17,013,000=, submitted to DES on 6th /12/2019, and from the minutes of June 2019-minutes of inspectorate meeting held on 5/6/2019, the sector allocations were,

Mr. Ssaka Robert was In charge of schools in Buwekula county while Mr. Mbirizi Muhammad was in charge of Kasbya counry. The allocations were as follows:

1. Mr. Mbirizi Mohammad for Kibalinga/Bageza sub-county's;
2. Mr. Ssaka Roberts for Nabingoola S/C;
3. Mr. Ochan John Micheal(cct) for Kasabya TC/SC;
4. Ms. Nakaweesi Susan (cct) for Kitenga SC;
5. Mr. Muzenera Andrew (AA) for Madudu SC;
6. Nalugga T.G (cct) for Butoloogo SC;
7. Ssabiti Wycliff (AA) for Kigando SC; and
8. Mugisha Godfrey (AA) for Kiyuni.

This was to be in all schools in those sub counties.

On 26th /8/2019 from the inspectorate work plan, the allocation of schools was as follows:

1. Ssekyambalo Joseph (IS) atleast all government private primary and secondary schools to be visited and in the whole District;
2. Mbirizi Mohammad for Kiyuni, Kigando and Kasambya Sub counties for all government primary and schools;
3. Ssaka Roberts (IS) for Nabigoola, Madudu and Kitenga subcounty; and
4. Igangura Sadrack K- Kibalinga.

Routine oversight and monitoring

Maximum 10 points on this performance measure

b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:

- If 100% score: 2
- Between 80 – 99% score 1
- Below 80%: score 0

There was no evidence from the DIS of LG inspection and monitoring reports for 92 UPE schools inspected and monitored from the previous three school terms as follows:

The term 1 inspection report was on 4/May/2020 under ref. no EDUC/213/4 and DES received it on 2nd /9/2020. A total of 77 schools were inspected of the 553 schools in the District;

(52/92 x 100 =56.5%) were UPE;

(22/195 X 100 = 11.2%) were Private primary schools; schools.

(3/12 X 100 = 25%) were USE; while there was no inspection in any of the private Secondary Schools.

The term 2 inspection report was on 5 /10/2019 under ref. no EDUC/213/4 and DES received it on 20th /2/2020. A total of 67 schools were inspected of the 553 schools in the District;

(54/92 x 100 =58.6%) were UPE;

(12/195 X 100 = 06.1%) were Private primary schools;

(1/12 X 100 = 08.3%) were USE; while there was no inspection in any of the private secondary schools.

The term 3 inspection report was on 3/1/2020 under ref. no EDUC/213/4 and DES received it on 20th /2/2020. A total of 105 schools were inspected of the 553 schools in the District;

(80/92 x 100 =86.9%) were UPE;

(21/195 X 100 = 10.7%) were Private primary schools; schools.

(4/12 X 100 = 33.3%) were USE; while there was no inspection in any of the private secondary schools.

For UPE schools, the average inspection was ((56.5 + 58.6 +86.9)/3 = 67.3%)

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>There was evidence that School inspection reports were discussed and used to recommend for corrective actions, and that those actions have subsequently been followed –up.</p> <p>From the Term 1 inspection reports, on page 3 of the report gives the general findings/observations during the inspection while page 4 shows the recommendation for future inspection as submitted to DEO on 4/5/2020 by Ssekyambalo Joseph (Ag. DIS).</p> <p>From the Term 2 inspection reports, on page 4 of the report gives the general findings/observations during the inspection while page 5 shows the recommendation for future inspection as submitted to DEO on 5/10/2020 by Ssekyambalo Joseph (Ag. DIS).</p> <p>From the Term 3 inspection reports, on page 2 of the report gives the general findings/observations during the inspection while page 3 shows the recommendation for future inspection as submitted to DEO on 3/1/2020 by Ssekyambalo Joseph (Ag. DIS).</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES):</p> <p>Score 2 or else score: 0</p>	<p>There was no evidence that the DIS and DEO presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES).</p>	0
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>There was evidence that the Education Sector standing committee had discussed service delivery issues. The following meeting were held:</p> <p>21st August 2020 – Min 06/08/2019 (a) and (b) – discussed Low inspection of primary schools, Form X and identity cards be paid for to district general fund.</p> <p>16th October 2019 – Min 06/04/2019 discussed Teachers’ failure to roll-call class registers on a daily basis, Lack of a district representative on School Board of Governors</p> <p>3rd December 2019 – Min 06/12/2019 discussed Delayed start of examinations due to long distance routes and few sitting centres in LG.</p> <p>All the above issues were also discussed in the Council meetings.</p>	2

Mobilization of parents to attract learners

Maximum 2 points on this performance measure

Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,

score: 2 or else score: 0

There was evidence that the LG Education department conducted activities to mobilize, attract and retain children at school.

On 4th /4/2020, a letter was written to the CAO under ref. EDUC/MUB/130 for the 4th radio presentation on re opening of schools which sensitized both parents and pupils on the problem at hand and the solution to the problem presented by the DEO & DIS.

On 28th /5/2020, there was a talk show on defining violence against children and safety systems by the DEO and the officer in charge of guidance and counselling.

17th /3/2020, there was a report from the education sports department submitted by Ssaka Roberts the Ag. Sports Officer Mubende District. In the report were the achievements, challenges and recommendations.

On 13th /3/2020, there was a report on skills training of Games Teachers in Kids Athletics 2020 submitted by Ssaka Roberts to the DEO.

Children were involved in sports activities throughout the year as per the Sports reports on 2/3/2020, 26th /11/2019, 22nd /8/2019 and 19th /8/2020.

Investment Management

12

Planning and budgeting for investments

Maximum 4 points on this performance measure

a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, *score: 2, else score: 0*

There was evidence that Mubende LG had a schools Asset register submitted to the Permanent Secretary of MoES on 30th /7/2020 accurately reporting the school name, EMIS number, Number of classrooms, latrines, desks, laboratories, teacher's accommodation as of 2019/2020.

From the sampled schools both at the Local Government and at school level;

Kasambya DAS P/S in Kasambya Town council with an enrolment of 852 who submitted the school's asset register on 15/6/2020 had 13 existing classrooms, 2 new classrooms required, 11 needed rehabilitations, 4 stance latrines existing, 4 stance latrine required, 0 need rehabilitation; 150 desks existing, 116 new desks required, 36 need rehabilitation; 7 teacher's accommodation existing, 7 accommodations required, 7 need rehabilitation.

At the school level, the school had 13 existing classrooms, 2 new classrooms required, 11 needed rehabilitations, 4 stance latrines existing, 4 stance latrine required, 2 need rehabilitation; 150 desks existing, 116 new desks required, 00

2

need rehabilitation; 7 teacher's accommodation existing, 7 accommodations required, 7 need rehabilitation.

St. Noa Mugungulu P/S in Bageza S/C with an enrolment of 872 had 9 existing classrooms, 3 new classrooms required, 4 needed rehabilitation, 3 stance latrines existing, 2 stance latrine required, 2 need rehabilitation; 175 desks existing, 122 new desks required, 30 need rehabilitation; 4 teacher's accommodation existing, 10 new accommodations required, 4 need rehabilitation.

At the school level, the school had had 9 existing classrooms, 3 new classrooms required, 4 needed rehabilitation, 3 stance latrines existing, 2 stance latrine required, 2 need rehabilitation; 175 desks existing, 122 new desks required, 30 need rehabilitation; 4 teacher's accommodation existing, 10 new accommodations required, 4 need rehabilitation.

Kibalinga P/S in Kibalinga S/C with an enrolment of 840 had 8 existing classrooms, 4 new classrooms required, 8 needed rehabilitation, 21 stance latrines existing, 6 stance latrine required, 7 need rehabilitation; 193 desks existing, 137 new desks required, 30 need rehabilitation; 4 teacher's accommodation existing, 10 new accommodations required, 4 need rehabilitation.

At the school level, the school had 8 existing classrooms, 4 new classrooms required, 8 needed rehabilitation, 21 stance latrines existing, 6 stance latrine required, 7 need rehabilitation; 193 desks existing, 137 new desks required, 15 need rehabilitation; 8 rooms teacher's accommodation existing, 8 rooms new accommodations required, 8 rooms need rehabilitation.

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, score: 1 or else, score: 0</p>	<p>There was evidence that LG conducted desk appraisal for all sector projects. Development projects were derived from LGDP, were in the annual workplans and spent according to sector guidelines.</p> <p>Sampled projects included:</p> <ol style="list-style-type: none"> 1. Construction of 2-Classroom block at Biwalwe P/S in Butoloogo S/C - Cost UGX 26,135,839 (AWP Page 68) 2. Construction of 2-Classroom blocks at Lwawuna P/S – Cost UGX 33,362,462 (AWP Page 68) 3. Construction of Kigando Seed Secondary School in Kigando S/C – Cost 383,593,337 (AWP Page 76) 	1
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0</p>	<p>There was evidence that for the prioritized projects field appraisal was done for technical feasibility, environmental and social acceptability. Environmental and Social Screening was done by the District CDO and Environment Officer and sampled projects were the following:</p> <ol style="list-style-type: none"> 1. Construction work at Seed Secondary School - Field appraisal done by CDO and Environment Officer on 23rd July 2019 2. Construction of 2-Classroom block at Biwalwe P/S in Butoloogo S/C - Cost UGX 26,135,839 Field appraisal done by CDO and Environment Officer on 23rd July 2019 3. Rehabilitation of 2-Classroom block at Kasambya P/s - Field appraisal done by CDO and Environment Officer on 8th August 2019. 	1

Procurement, contract management/execution

Maximum 9 points on this performance measure

a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, *score: 1, else score: 0*

The education infrastructure projects were incorporated into the LG procurement plan.

The following are the sampled projects.

1. Project Name: Construction of a 2 Classroom Block at Mirembe Agape P/S in Kitenga S/C

Contract No: Mube541/Wrks/19-20/00017

Approved under: Min 13/10/19-20

Contract Price: 78,968,386

2. Project Name: Construction of a 2 Classroom Block at Biwalwe P/S in Butoloogo S/C

Contract No: Mube541/Wrks/19-20/00015

Approved under: Min 13/10/19-20

Contract Price: 78,810,645

3. Project Name: Construction of a 2 Unit Staff House and a 2 Stance VIP Latrine at Katega P/S in Kigando S/C

Contract No: Mube541/Wrks/19-20/00013

Approved under: Min 13/10/19-20

Contract Price: 96,991,986

Note: The projects above were extracted from the procurement plan that was submitted by the Mubende DLG to PPDA on 09-Aug-2019 and signed off by the CAO.

Procurement, contract management/execution

Maximum 9 points on this performance measure

b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, *score: 1, else score: 0*

The education infrastructure investments were approved by Contracts Committee.

Sampled projects included:

1. Proj Name: Construction of a Two Class Block at Kattambogo P/S in Kigando SC

Date of approval of evaluation report: 23-Oct-2020

Date of Award Notification: 09-Nov-2020

Proposed Date of Contract Signature: 12-Nov-2020

Contract Price: 80,000,000

2. Proj Name: Construction of a 4 Stance Latrine at Makukuulu P/S in Butoloogo S/C

Date of approval of evaluation report: 23-Oct-2020

Date of Award Notification: 09-Nov-2020

Proposed Date of Contract Signature: 12-Nov-2020

Contract Price: 15,000,000

3. Proj Name: Construction of a 4 Stance Latrine at Nkokonjeru P/S in Nabingoola S/C

Date of approval of evaluation report: 23-Oct-2020

Date of Award Notification: 09-Nov-2020

Proposed Date of Contract Signature: 12-Nov-2020

Contract Price: 15,000,000

Note: The above education projects in procurement plan FY 20/21 were approved during a Contracts Committee meeting held on 27-Feb-2020 which was chaired and signed off by Hon Museveni William under Min 08/02/27/2019/20

Procurement, contract management/execution

Maximum 9 points on this performance measure

c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. *score: 1, else score: 0*

Projects constructed within the last FY were overseen by an implementation team as prescribed within the sector guidelines

There was evidence of project supervision reports and attendance of site meetings.

See sampled project below:

1. Project Name: Construction of Kagando Seed Secondary School

Contractor: Kaleeta Construction Ltd

Date of Site Meeting: 03-Sept-2019

In Attendance: PM, DE, CoW, School Staff, EO,SME

Signed off by: J B Kanya

2. Project Name: Construction of Kagando Seed Secondary School

Contractor: Kaleeta Construction Ltd

Date of Site Meeting: 24-July-2019

In Attendance: DE, PM, CE, Contractor, CoW, DEO

Signed off by: J B Kanya

Procurement, contract management/execution

Maximum 9 points on this performance measure

d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES

Score: 1, else, score: 0

The standard technical designs provided by the MoES were not followed.

Spot measurements at the building site were taken and they were not fully compliant with the MoES standard designs.

Standard drawings – Multi Purpose Hall at Kigando Seed Sec School

Doors: Width – 1.2m

Height – 2.4m

Windows: Width – 1.2m

Height – 1.49m

Veranda – 1.5m

Splash Apron – 0.6m

Width – 7.43m

Site Measured Dimensions - Multi Purpose Hall at Kigando Seed Sec School

Doors: Width – 1.15m, 1.15m

Height – 2.33m, 2.33m

Windows: Width – 1.13m, 1.13m

Height – 1.44m, 1.43m

Veranda – 1.5m, 1,5m

Splash Apron – 0.52m, 0.51m, 0.55m

Width – 7.5m

Procurement, contract management/execution

Maximum 9 points on this performance measure

e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY
score: 1, else score: 0

Monthly site meetings were conducted for all Education sector infrastructure projects planned in the previous FY

Sample reports reviewed had meetings in the following months:

1. Kigando Seed Sec School: 03-Sept-2019

2. Kigando Seed Sec School: 24-July-2020

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc ..., has been conducted <i>score: 1, else score: 0</i></p>	<p>During supervision, there was full participation of engineers, environment officers, CDOs, at critical stages of construction.</p> <p>The projects below were reviewed:</p> <p>1. Project Name: Construction of Kagando Seed Secondary School</p> <p>Contractor: Kaleeta Construction Ltd</p> <p>Date of Site Meeting: 03-Sept-2019</p> <p>In Attendance: PM, DE, CoW, School Staff, EO,SME</p> <p>Signed off by: J B Kamyia</p> <p>2. Project Name: Construction of Kagando Seed Secondary School</p> <p>Contractor: Kaleeta Construction Ltd</p> <p>Date of Site Meeting: 24-July-2019</p> <p>In Attendance: DE, PM, CE, Contractor, CoW, DEO</p> <p>Signed off by: J B Kamyia</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>There was evidence that payment requests for infrastructure projects were initiated and executed as follows: -</p> <p>Construction of 2-Classroom block at Biwalwe P/S in Butoloogo S/C – Payment request dated 16th January 2020 was paid on 5th March 2020; EFT 28337011 of UGX 26,135,839 – 50 days</p> <p>Construction of 2-Classroom block at Lwamuna P/S in Nabingoola S/C – Payment request dated 26th March 2020 was paid on 20th April 2020; EFT 28890177 of UGX 33,362,462 – 25 days</p> <p>Construction of Kigando Seed Secondary School in Kigando S/C – Payment request dated 2nd December 2019 was paid on 19th December 2019; EFT 27193039 of UGX 383,593,337 – 17 days</p> <p>This was within a 2-months period.</p>	1

Procurement, contract management/execution

Maximum 9 points on this performance measure

h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, *score: 1, else, score: 0*

The LG Education department submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30

The Education User Department submitted their procurement plan to PDU on 24-Apr-2019

Procurement, contract management/execution

Maximum 9 points on this performance measure

i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law *score 1 or else score 0*

The procurement files for education infrastructure projects for the current FY were not yet complete.

Sampled projects included:

1. Proj Name: Construction of a Two Class Block at Kattambogo P/S in Kigando SC

Date of approval of evaluation report: 23-Oct-2020

Date of Award Notification: 09-Nov-2020

Proposed Date of Contract Signature: 12-Nov-2020

Contract Price: 80,000,000

2. Proj Name: Construction of a 4 Stance Latrine at Makukuulu P/S in Butoloogo S/C

Date of approval of evaluation report: 23-Oct-2020

Date of Award Notification: 09-Nov-2020

Proposed Date of Contract Signature: 12-Nov-2020

Contract Price: 15,000,000

3. Proj Name: Construction of a 4 Stance Latrine at Nkokonjeru P/S in Nabingoola S/C

Date of approval of evaluation report: 23-Oct-2020

Date of Award Notification: 09-Nov-2020

Proposed Date of Contract Signature: 12-Nov-2020

Contract Price: 15,000,000

Note: The above education projects in procurement plan FY 20/21 were approved during a Contracts Committee meeting held on 27-Feb-2020 which was chaired and signed off by Hon Museveni William under Min 08/02/27/2019/20

Some constituents of the procurement files were not yet in place.

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>The LG had a weighty Education grievance file with many cases. Among them included:</p> <ol style="list-style-type: none"> 1) Alleged misconduct of Nvuyekule Emmanuel by neglecting his child, dated 21 Jan 2020; 2) Mr. Musenga Charles for negligence of duty and responsibility at school, dated 13/03/2019; 3) Submission of Teacher Twikirize Darius to the District Rewards and Sanctions Committee. 	3
15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>From the sampled schools, ie Kasambya DAS P/S, St. Noa Mugungulu P/S and Kibalinga P/S, there was no evidence that LG had disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation.</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>	<p>There was NO evidence that the LG had in place a costed ESMP and these were incorporated within the BoQs and contractual documents. Those sampled included:</p> <ol style="list-style-type: none"> 1) Construction of a 2-classroom block at Mirembe Agape primary school in Kitenga sub county. It had a section under Element 13 for Environmental issues costed at UGX2,000,000/=; 2) Construction of a 2-classroom block at Biwalwe primary school in Butoloogo sub county. It had a section under Element 13 for Environmental issues costed at UGX2,000,000/=; However, 3) Construction of a 2-Unit staff house and a 2-stance VIP latrine at Katega primary school in Kiganda sub county DID NOT have anything on ESMP incorporated in the BoQs. 	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>	<p>There was proof of land ownership, access of - school construction projects. Land Titles for schools availed included:</p> <ol style="list-style-type: none"> 1) Nabingoola Public Secondary School, located on Plot 48, Block 262 Nabingoola, Buwekula County; and 2) Ssaka Primary school, on Plot 315, Block 339, Vol/Folio HQT1818/16, Ssaka, Buwekula County. 	1

16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i>	There was evidence that the Environment Officer and CDO conducted support supervision and monitoring to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports. Reports presented were: 1) Sensitization and inspection of two classroom block at Lwawuna primary school, dated March 29th 2020; 2) Inspection of Works at Kasambya DAS primary school, dated May 29th 2020; and 3) Inspection of Kigando Seed secondary school in Kiganda sub county dated June 24th 2020.	2
16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments <i>Score: 1, else score:0</i>	There was evidence that E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments. Those availed included: 1) Construction of staff house at Katega Primary school, dated 10/06/2020. It was Certification Form No: 2019/018; 2) Construction of a 2-classroom block at Mirembe agape primary school, dated 08/06/2020. It was Certification Form No: 2019/016; 3) Construction of a 2-classroom block at Biwalwe primary school, dated 12/06/2020. It was Certification Form No: 2019/017; 4) Construction of staff house at Kabowa primary school, dated 11/06/2020. It was Certification Form No: 2019/019; and 5) Construction of Kigando seed Secondary School, dated 10/06/2020. It was Certification Form No: 2019/017.	1

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered increased utilization of Health Care Services (focus on total OPD attendance, and deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>The Local Government (LG) registered only 16.0% increased utilisation of health care services based on Out Patient Department (OPD) attendance from 20,207 in financial year (FY) 2018/2019 to 23,386 in FY 2019/2020 based on the 3 sampled health facilities including Butawata HCIII, Kabo HCIII and Kizalinga HCIII. OPD attendance changes at each of the 3 health facilities were as follows; at Butawata HCIII it increased from 6,049 to 9,023; at Kabo HCIII it increased from 6,456 to 7,708; and at Kizalinga HCIII it increased from 21,449 to 27,960.</p> <p>There was a 5.0% reduction in health care use based on number of delivery (from 1,364 in FY 2018/2019 to 1,426 in FY 2019/2020).</p>	0
2	<p>Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p> <p>Note: To have zero wait for year one</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%; score 2 • 50 – 69% score 1 • Below 50%; score 0 	Not applicable	0
2	<p>Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p> <p>Note: To have zero wait for year one</p>	<p>b. If the average score in the RBF quarterly quality facility assessment for HC IIIs and IVs is:</p> <ul style="list-style-type: none"> • Above 75%; score 2 • 65 – 74%; score 1 • Below 65% ; score 0 	<p>The average score in the RBF quarterly quality facility assessment for the 8 HCIIIs was 88%. The facilities included; Kalonga and Kitenga HCIII scored 95%, Kibalinga HCIII scored 87%, Nabingoola HCIII scored 92%, Kasambya scored 88%, Kiyuuni HCIII scored 91%, Mududu HCIII scored 76% and St. Josephs HCIII scored 80%.</p>	2

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>Health Development Grant was spent on the following eligible activities as evidenced from the Annual Budget Performance Report FY 2019/2020 - Page 60</p> <ol style="list-style-type: none"> 1. Renovating of Kakigando and Nkandwa HC II in Kiyuni S/C and Kibalanga S/C respectively. 2. Construction of –Stance Pit latrine, Placenta Pit and medical waste at Butawata HC II in Kigando S/C 3. Upgrading of Butologo HC II to HC III 	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0</p>	<p>There was NO evidence that the CDO and Environment Officer had certified works on health projects before payment was effected. Payments had been certified by the DHO and DE.</p> <p>Sampled projects included the following:</p> <ol style="list-style-type: none"> a) Renovation of Kakiganda HC II in Kiyuni S/C and Nkandwa HC II in Kibalinga S/C – Payment Request dated 5th June 2020; Approved by DHO and DE on 11-Jun-2020; b) Construction of 4-Stance Pit Latrine, Placenta Pit and Medical Waste at Butawata HC II in Kigando S/C – Payment Request submitted on 10th June 2020; Certified by DHO and DE on 15th June 2020. c) Payment for Upgrading of Butologo HC II to in Butologo S/C and Butawata HC II to HC IIIs – Payment Request dated 18th August 2019; Certified by the DHO and DE on 12th September 2019. 	0

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0

The variations in contract price of sampled works/supplier for the previous FY contracts were within +/-20% of the LG Engineers estimates

Projects Sampled

1. Project Name: Renovation of a Maternity Unit at Madudu H/C III in Madudu S/C

Contract No: Mube541/Wrks/19-20

Approved under: Min 44/03/19-20

Contract Price: 33,107,319

Engineer's Estimate:33,000,000

Price Variation: 107,319

Percent Variation: 0.32%

Comment: Variation is within the range of +/-20%

2. Project Name: Renovation of Kanyogoga H/C II in Butolologo S/C

Contract No: Mube 541/WRks/19-20/00032

Approved under: Min 44/03/19-20

Contract Price: 21,763,035

Engineer's Estimate:23,165,135

Price Variation: -1,402,100

Percent Variation: -6.05%

Comment: Variation is within the range of +/-20%

3. Project Name: Completion of a Staff House at Kiyita Health Center II in Nabingoola S/C

Contract No: Mube541/Wrks/19-20/00033

Approved under: Min 44/03/19-20

Contract Price: 38,907,522

Engineer's Estimate:38,907,522

Price Variation: 0

Percent Variation: 0%

Comment: No variation

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY

- If 100 % Score 2
- Between 80 and 99% score 1
- less than 80 %: Score 0

Health projects, for the previous FY, where contracts were completed

100% of the health projects were completed (7/7*100%).

The projects completed include:

1. Completion of a Staff House at Kiyita HC II
2. Renovation of Kakigando HC II in Kiyuni SC and Nkandwa HC II in Kibalinga SC
3. Renovation of Madudu HV III Maternity
4. Face-Lifting/Renovation of Kanyogoga HC II
5. Construction of a Placenta Pit in Butoloogo HCII
6. Construction of a fence at Kasambya HV III
7. Construction of a 3 Stance VIP Latrine at Nkandwa HC II

Achievement of Standards: The LG has met health staffing and infrastructure facility standards

Maximum 4 points on this performance measure

a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure

- If above 90% score 2
- If 75% - 90%: score 1
- Below 75 %: score 0

The list from HRM shows approved positions, filled and vacant or excess positions.

The Ministry of Health Sector Grant and Budgeting guidelines to LGs for FY 2019/2020 explains the staffing schedule HCIII =19 Positions.

7 HCIIIs =7X19=133

Filled positions =

117/133x100= 88%

88% Positions filled.

Achievement of Standards: The LG has met health staffing and infrastructure facility standards	b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.	In the sampled facilities, the construction was not carried out according to design.
Maximum 4 points on this performance measure	• If 100 % score 2 or else score 0	<p>Sampled projects include:</p> <p>Sampled project</p> <p>Standard drawings: Kigando HC III</p> <p>Windows: Height – 1.5m</p> <p>Width – 1.5m</p> <p>Outside Doors: Height – 2.65m</p> <p>Width – 1.5m</p> <p>Internal Doors: Width – 1.5m</p> <p>Height – 2.4m</p> <p>Splash Apron – 0.6m</p> <p>Width of Maternity Wing: 7.4m</p> <p>Measured dimensions – Kigando HC III</p> <p>Windows: Height – 1.44m, 1.47m</p> <p>Width – 1.2m, 1.47m</p> <p>Outside Doors: Height – 2.37m</p> <p>Width – 1.48m</p> <p>Internal Doors: Width – 1.4m, 1.44m</p> <p>Height – 2.33m, 2.35m</p> <p>Splash Apron – 0.6m</p> <p>Width of Maternity Wing: 7.67m</p>

Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>The information on positions of health workers filled was accurate. There were no discrepancies between positions on staff lists from the DHO and those on the November 2020 staff lists at the 3 sampled health facilities including; Kasambya HCIII, Kibalinga HCIII and Mugungulu HCII.</p>	2
	<p>Maximum 4 points on this performance measure</p>		<p>The details are presented below:</p> <ol style="list-style-type: none"> 1. At Mugungulu HCIII, all the 5 staff that were working as reflected on the staff list pinned on the notice board were on the undated staffing levels list from the DHO; 2. At Kasambya HCIII, all the 21 staff that were the November 2020 staff list pinned on the notice board were also on the undated staffing levels list from the DHO; and 3. At Kibalinga HCIII, all 20 staff as reflected on the staff list pinned on the notice board were on the undated staffing levels list from the DHO. The health facility had 10 other staff that were supported by partners. 	
5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>The information on health facilities upgraded or constructed was accurate. The list health facilities upgraded at the District Health Officer's (DHO) office, reflected in the performance review report dated 10th August 2020 on page 3 showed that Butawata HCII and Butologo HCII were upgraded to HCIII. The same health facilities were reflected in the PBS quarter 4 report for Financial Year (FY) 2019/2020 on page 11.</p>	2
	<p>Maximum 4 points on this performance measure</p>			
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector: • Score 2 or else 0</p>	<p>Health facilities prepared and submitted Annual Work plans and budgets to the DHO after the deadline of 31st March 2020 as reflected from the 3 sampled facilities below:</p> <ol style="list-style-type: none"> 1. Kasambya HCIII submitted on 8th July 2020; 2. Kiyuni HCIII submitted on 30th June 2020; and 3. Kasambya HCIII submitted on 28th August 2020. 	0
	<p>Maximum 14 points on this performance measure</p>			

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :

- Score 2 or else 0

The health facilities prepared Annual Budget Performance Reports for the FY 2019/2020 and submitted them to the DHO. The 3 sampled health facilities submitted as follows:

1. Kasambya HCIII submitted on 8th July 2020; and
2. Kabalungi HCIII and Butawata HCIII submitted on 7h July 2020.

2

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports

- Score 2 or else 0

The health facilities had developed and reported on implementation of facility improvement plans that incorporated performance issues identified in assessment reports. All the 3 sampled health facilities including Madudu HCIII, Kitenga HCIII and Kibalinga HCIII had developed Performance Improvement Plans with gaps identified during the DHT's monitoring as reflected in reports.

Details of the 3 sampled health facilities are presented below:

1. Madudu HCIII - PIP dated 5th July 2020 included improvement of documentation as shown on page 16. This addressed the issue of incomplete documentation that had been identified in the RBF monitoring report for FY 2019/2020 dated 15th June 2020 on page 12;
2. Kitenga HCIII – the PIP dated 7th July 2020 included improvement of data capture and improvement of community members' knowledge on antenatal care reflected on page 7. The aforementioned had been identified in the RBF monitoring report for FY 2019/2020 dated 15th June 2020 on page 6;; and
3. Kibalinga HCIII – the PIP dated 5th July 2020 catered for curbing staff absenteeism and improving health workers' knowledge on antenatal care as reflected on page 14. The above had been identified during monitoring as reflected in the RBF monitoring report for FY 2019/2020 dated 15th June 2020 on page 14.

The PIPs were implemented as reflected in the report on the District Stakeholders' meeting dated 10th August 2020.

2

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%, • score 2 or else score 0</p>	<p>The health facilities submitted 100% up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter). Monthly and quarterly reports for the 3 sampled health facilities including Kalama road site HCII, Kayuma HCII and Kikoma Clinic were all submitted within 7 days following the end of each month and quarter.</p>	2
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0 Note: Municipalities submit to districts</p>	<p>The health facilities submitted 100% of the Results Based Financing (RBF) invoices timely (by 15th of the month following end of the quarter). All the 3 sampled health facilities submitted on time as follows; Kitenga HCIII submitted on 10th October 2020, Kibalinga HCIII submitted on 8th October 2020, and Kiyuni HCIII submitted on 6th October 2020.</p>	2
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>The LG did not timely verify, compile and submit to MoH facility RBF invoices for all RBF Health Facilities. For instance, both quarter 1 and 2 invoices were submitted On 13th March 2020 which was beyond the respective deadlines of the end of the 3rd week of the October 2019 and January 2020.</p>	0
<p>Maximum 14 points on this performance measure</p>				

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>From the departmental quarterly submissions, there was evidence that the Health department submitted Budget Performance Reports as follows:</p> <p>Q1 on 14th October 2019;</p> <p>Q2 on 13th January 2020;</p> <p>Q3 on 14th April 2020; and</p> <p>Q4 on 13th July 2020.</p>	1
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>The LG developed a Performance Improvement Plan (PIP) for the lowest performing health facilities dated 7th July 2019. The PIP included all the 8 health facilities under the RBF scheme.</p>	1
<p>Maximum 14 points on this performance measure</p>				

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>The DHMT implemented the Performance Improvement Plan for weakest performing facilities. For instance, the upgrading of Butalago and Butawata HCIIIs to HCIIIs was planned in the PIP on page 11. The constructions were carried out as reflected in the District Stakeholders' meeting report dated 10th August 2020 on page 3.</p>	1
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Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>The LG budgeted for health workers without following guidelines / staffing norms. The LG budgeted for 10 extra Midwives and 1 Office Messenger beyond the norm.</p>	0
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>The LG had deployed 88% (295 out of 334) as per guidelines in accordance with staffing norms. 11 staff had been deployed beyond the staffing norm and were not considered while computing the percentage.</p>	2
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Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines

Maximum 9 points on this performance measure

b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0

The health workers were working in health facilities where they were deployed as reflected from the 3 sampled facilities; Kasambya HCIII, Kibalinga HCIII and Mugungulu HCII. The details are presented below:

1. At Kasambya HCIII, all the 21 staff that were working as reflected on the attendance register for November 2020 and staff list pinned on the notice board were on the deployment list from the DHO;
2. At Kibalinga HCIII, all 20 staff as reflected on the staff list pinned on the notice board and attendance register for November 2020 were also on the deployment list from the DHO; and
3. At Mugungulu HCII, all the 5 staff that were working as per the staff list pinned on the notice board and duty roster for December 2010 were the same as those on the deployment list from the DHO.

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines

Maximum 9 points on this performance measure

c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0

The LG had publicized health workers deployment and disseminated by, among others, posting on facility notice boards as showed below:

1. At Kasambya HCIII, a list with 21 staff dated 1st July 2020 was pinned on the notice board with no date;
2. At Kibalinga HCIII, a duty roster for November 2020 and a staff list with the same number of staff dated 1st December 2020 was pinned on the notice board in the waiting area; and
3. At Mugungulu HCII, a list with 7 staff that had no date was pinned in the waiting area.

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the DHO/MMOHs has:</p> <p>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p>	<p>There was no evidence that all Health Facility In-charges were appraised during the previous FY.</p> <p>Senior Clinical Officers Kayodi Annet Kalonga HCIII, Kato Kaamu Madudu HCIII, Ngobi Kassim Kiyuni HCIII, Kyewalabye John Kitembo Kasambya HCIII, Mbabazi Betty Kibalinga HCIII and Kangume M. Hillary Nabingoola HCIII were appraised on 21/7/2020, 1/7/2020, 16/7/2020, 5/7/2020, 13/7/2020 and 7/7/2020 respectively.</p> <p>However, Senior Clinical Officer Mwendha James was not appraised.</p> <p>Also the files for other health facility in-charges were not available at the time of this assessment.</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>There was evidence that Health Facility In-charges conducted performance appraisal of all health facility workers during the previous FY.</p> <p>The sampled 10 files included;</p> <p>Enrolled Nurses Kebirungi Sarah and Najjumba Zulaika appraised on 1/7/2020,</p> <p>Enrolled Nurses Nakibuka Robinah, Ithungu Justine, Kabugho Jackline and Komujuni Nevis were appraised on 12/6/2020,</p> <p>Enrolled Nurse Ariko Mildred and Enrolled Midwife Nansimbi Sarah Nabunje were appraised on 30/6/2020 and</p> <p>Enrolled Nurse Najjemba Alex and Enrolled Midwife Nanyonga Esther Kigongo were appraised on 20/7/2020 and 21/7/2020 respectively.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>The DHO/MMOH didn't take any corrective actions based on the appraisal reports.</p>	0

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>The LG did not conduct train of health workers (Continuous Professional Development) following a consolidated plan. There was no consolidated plan for Continuous Professional Development) in accordance to the training plans at the district at the time of the assessment.</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>The LG had documented training activities in the training / CPD database reflected in a file labelled "Department of Health Training Database 2019/2020". The data base contained key information as reflected in the training report titled "On-site clinical mentorship, orientation about new guidelines of essential services in the of COVID 19", attended by 216 staff.</p>	1

Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>The letter from the CAO notifying the MOH in writing of the list of facilities accessing the PHC NWR Grants (GoU and PNFP that received PHC NWR grants) for the current FY was not required since none of the 28 (27 public and 1 PNFPs) health facility had been listed incorrectly or missed in the previous on the list.</p>	2
9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>There was evidence that the LG made allocations towards monitoring service delivery as follows:</p> <p>PHC NWR total approved budget (Page 21) was UGX 327,319,000</p> <p>The Budget allocation for Health Care Management Services - Output 088301 (as per Annual Performance Report for FY 2019/2020 – Page 61) was UGX 48,239,000 out of the total health department NWR budget of UGX 327,319,000 (Refer to Approved Budget Page 22)</p> <p>The represented 15% as required per the health guidelines.</p>	2

Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0

For the FY 2019/2020, there was evidence that verification of warrants from MoFPED was done on the following dates:

Quarter 1 - Warrant was released by MoFPED on 5th August 2019 and approved by CAO on 12th August; 7 days

Quarter 2 - Warrant was released by MoFPED on 10th October 2019 and approved by CAO on 18th October; 8 days

Quarter 3 - Warrant was released by MoFPED on 13th January 2020 and approved by CAO on 23rd January 2020; 10 days

Quarter 4 - Warrant was released by MoFPED on 15th April 2020 and approved by CAO on 24th April 2020; 10 days

This was beyond the time frame of 5 days as required.

Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of funds release in each quarter, score 2 or else score 0

For the FY 2019/2020, releases of PHC NWR Grant to facilities were effected as budgeted on the dates below:

Quarter 1 - was approved on 12th August 2019 and released 22nd August 2019 – UGX 64,663,677; 10 days

Quarter 2 - was approved on 18th October 2019 and released 24th October 2019 – UGX 64,663,677; 6 days

Quarter 3 - was approved on 23rd January 2020 and released 3rd February 2020 – UGX 64,663,677; 10 days

Quarter 4 - was approved on 24th April 2020 and released 30th April 2020 – UGX 64,663,677; 6 days

These were beyond the timeframe of 5 days as required.

Sampled health facilities included:

Kasambya HC II – UGX 4,342,229

Kikando HC II – UGX 1,545,581

Bugonzi HC II – UGX 1,545,581

9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>The LG publicised all the quarterly financial releases to all health facilities before 5 working days from the date of receipt of the expenditure limits from MoFPED. This was reflected on the quarterly release lists by the DHO as presented below:</p> <ol style="list-style-type: none"> 1. Quarter 1 list dated 14th July 2019 was pinned 3 working days after the release date of 9th July 2019; 2. Quarter 2 list dated 6th October 2019 was pinned 2 working days after the release on 2nd October 2020; 3. Quarter 3 list dated 12th January 2020 was pinned 2 working days after release on 8th January 2020; and 4. Quarter list dated 30th April 2020 was pinned 2 working days after release on 28th April 2020. 	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>The LG health department implemented actions recommended by the DHMT quarterly performance review meetings held during the previous FY. For instance, in the DHMT meeting held on 5th September 2019 under minute 6/9/2019 and recommended that sensitization campaigns on sanitation and hygiene be conducted. The campaign was carried out as reflected on pages 1 to 3 of the report titled "Report on hand washing campaign, hand washing facilities procured and distributed at the district headquarters" dated 27th December 2019.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>The LG quarterly performance review meetings involved all the 29 health facility In - Charges in quarterly DHMT performance review meetings held on 12th November 2020, 5th April 2020, and 10th June 2020.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>There were no HCIVs and General hospitals that would be supervised by the District Health Team (DHT).</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <p>• If not applicable, provide the score</p>	<p>Support supervisions were carried out by the District Health Team (DHT) since there was no operational Health Sub Districts (HSD) that would have supervised the lower level health facilities within the previous FY. However, all the 3 sampled health facilities including Mugungulu HCII, Kasambya HCIII and Kibalinga HCIII were not supervised in quarter 3.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>The LG used results / reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions. For instance, the relocation of the EPI refrigerator to Kabowa HCIII was recommended as noted from the supervision report dated 26th May 2020. The EPI refrigerator was relocated as noted on page 2 of the related report.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>The LG provided support to all health facilities in the management of medicines and health supplies in FY 2019/2020.</p> <p>Medicines Management Supervisors (MMS) reports for quarters 3 and 4 dated; 3rd February 2020, 4th February 2020, 5th February, 5th February 2020, and 12th June 2020 showed that all the 33 health facilities were supported.</p>	1
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>The LG did not make budget provisions for Health Promotion and Prevention activities as evidenced from the Annual Performance Report for FY 2019/2020 – Page 58, Output 088101.</p>	0

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p>	<p>b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0</p>	<p>The DHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY. For instance awareness raising for community members focusing on Covid 19 was carried out as reflected in the report dated 29th May 2020 and quarter 4 report.</p>	1
	<p>Maximum 4 points on this performance measure</p>			
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>The DHT follow-up on health promotion and disease prevention issues. For instance, conducted a mentorship session for health workers at lower health facilities including Kibalinga HCIII and Madudu HCIII, focusing on Infection Prevention and Control (IPC). This included demonstration of hand washing by DHT members to the health facility staff as reflected on page 1 of the Mentorship report dated 30th April 2020.</p>	1
	<p>Maximum 4 points on this performance measure</p>		<p>The DHT conducted a follow up visit to Madudu HCIII focusing on promotion of hand washing with soap before reaching the health facility as reflected on page 6 of the Follow up visit report dated 18th May 2020.</p>	

Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>The LG did not have an updated asset register which set out health facilities and equipment relative to basic standards. There was no documentary evidence at the time of the assessment.</p>	0
	<p>Maximum 4 points on this performance measure</p>			

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the LG Development Plan; (ii) desk appraisal by the LG; and (iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)): score 1 or else score 0</p>	<p>There was evidence that the LG conducted desk appraisals and that investments were derived from the LG Development Plan and Annual work plan (refer to Page 55). These included:</p> <ol style="list-style-type: none"> 1. Renovating of Kakigando and Nkandwa HC II in Kiyuni S/C and Kibalanga S/C respectively – Cost of UGX 25,154,881. 2. Construction of –Stance Pit latrine, Placenta Pit and medical waste at Butawata HC II in Kigando S/C - Cost of UGX 42,706,921 . 3. Upgrading of Butologo HC II to HC III - Cost of UGX 117,320,720. 	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>There was evidence from the sampled forms that investments were appraised for environmental and social acceptability. Sampled forms included:</p> <ol style="list-style-type: none"> 1. Construction work at Kigando HC II was appraised by the DHO, CDO and Environment officer on 23rd July 2019 	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>There was evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist. Of the seven Health facilities screened, with the Screening Forms signed by both the Environment Officer and Community Development Officer, were sampled as follows:</p> <ol style="list-style-type: none"> 1) Renovation of Nkandwa OPD. The screening report dated 07 July 2019; 2) Construction works at Butologo HC II. The screening report dated 18 July 2019; 3) Renovation of Kakiganda HC II. The screening report dated 07 July 2019; 4) Renovation of Maddudu HC III. The screening report dated 07 July 2019; and 5) Construction of staff house at Kiyita HC II. The screening report dated 07 July 2019. 	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>There was evidence availed by the LG health department to show that the infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans were submitted by 30th April.</p> <p>The Health User Department procurement plan was submitted on 28-Apr-2020</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>There was no evidence that the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY</p>	0

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0

There was evidence that the health infrastructure investments were approved by Contracts committee.

1. Proj Name: Upgrading Mugungulu HC II to HC III

Approved Under: Min 08/02/27/2019/20

Date of approval of evaluation report: 23-Oct-2020

Date of Award Notification: 09-Nov-2020

Proposed Date of Contract Signature: 12-Nov-2020

Contract Price: 617,500,000

2. Proj Name: Construction of General Ward at Kalongo HC III

Approved Under: Min 08/02/27/2019/20

Date of approval of evaluation report: 23-Oct-2020

Date of Award Notification: 09-Nov-2020

Proposed Date of Contract Signature: 12-Nov-2020

Contract Price: 178,676,600

3. Proj Name: Completion of Kiyita HC II Staff House

Approved Under: Min 08/02/27/2019/20

Date of approval of evaluation report: 23-Oct-2020

Date of Award Notification: 09-Nov-2020

Proposed Date of Contract Signature: 12-Nov-2020

Contract Price: 5,500,300

Note: The above health projects in procurement plan FY 20/21 were approved during a Contracts Committee meeting held on 27-Feb-2020 which was chaired and signed off by Hon Museveni William

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The Project Implementation Team was not fully established. There was no evidence of any district staff being appointed by the CAO</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The LG Health infrastructure did not conform to the approved designs</p> <p>Sampled project</p> <p>Standard drawings: Kigando HC III</p> <p>Windows: Height – 1..5m</p> <p>Width – 1.5m</p> <p>Outside Doors: Height – 2.65m</p> <p>Width – 1.5m</p> <p>Internal Doors: Width – 1.5m</p> <p>Height – 2.4m</p> <p>Splash Apron – 0.6m</p> <p>Width of Maternity Wing: 7.4m</p> <p>Measured dimensions – Kigando HC III</p> <p>Windows: Height – 1.44m, 1.47m</p> <p>Width – 1.2m, 1.47m</p> <p>Outside Doors: Height – 2.37m</p> <p>Width – 1.48m</p> <p>Internal Doors: Width – 1.4m, 1.44m</p> <p>Height – 2.33m, 2.35m</p> <p>Splash Apron – 0.6m</p> <p>Width of Maternity Wing: 7.67m</p>	0

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no evidence of whether the weekly reports are consolidated from the daily site reports.</p> <p>In fact, no weekly reports were availed for review.</p> <p>There was also no evidence of a Clerk of Works on the team</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>Project Site meetings were held on a monthly basis as per guidelines; There is evidence of attendance of other key stakeholders.</p> <p>1. Project Name: Construction of Butoloogo H/C Contractor: Kabosi Farmers and Contractor's Ltd Date of Site Meeting: 11-July-2019 In Attendance: Contractor, DE, CE, DE, SEHO, CE, CoW Signed off by: J B Kamya</p> <p>2. Project Name: Construction of Butawata HC III Contractor: Ssingoo General Purpose Co. Ltd Date of Site Meeting:21-May-2019 In Attendance: Contractor, Foreman, ADHO, Site Eng, CE, DE, PM Signed off by: JB Kamya</p> <p>3. Project Name: Construction of Butoloogo H/C Contractor: Kabosi Farmers and Contractor's Ltd Date of Site Meeting: 18-April-2019 In Attendance: Contractor, SE, Asst. FM, PM, Site Foreman Signed off by: J B Kamya</p>	1

<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p>	<p>There is evidence that the Local Government teams supervised the projects at least monthly and the key stakeholders participated in technical site visits.</p>
<p>Maximum 10 points on this performance measure</p>	<p>If there is no project, provide the score</p>	<p>1. Project Name: Construction of Butoloogo H/C Contractor: Kabosi Farmers and Contractor's Ltd Date of Site Meeting: 11-July-2019 In Attendance: Contractor, DE, CE, DE, SEHO, CE, CoW Signed off by: J B Kamya</p> <p>2. Project Name: Construction of Butawata HC III Contractor: Ssingoo General Purpose Co. Ltd Date of Site Meeting:21-May-2019 In Attendance: Contractor, Foreman, ADHO, Site Eng, CE, DE, PM Signed off by: JB Kamya</p> <p>3. Project Name: Construction of Butoloogo H/C Contractor: Kabosi Farmers and Contractor's Ltd Date of Site Meeting: 18-April-2019 In Attendance: Contractor, SE, Asst. FM, PM, Site Foreman Signed off by: J B Kamya</p> <p>.</p>

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0

There was evidence that payment request for contractors were certified and recommended within 10 working days.

Sampled payments were as follows:

a) Renovation of Kakiganda HC II in Kiyuni S/C and Nkandwa HC II in Kibalinga S/C – Payment Request dated 5th June 2020; Approved by DHO and DE on 11-Jun-2020; 6 days - Paid UGX 25,154,881

b) Construction of 4-Stance Pit Latrine, Placenta Pit and Medical Waste at Butawata HC II in Kigando S/C – Payment Request submitted on 10th June 2020; Certified by DHO and DE on 15th June 2020; 5 days- Paid UGX 42,706,921

c) Payment for Upgrading of Butoloogo HC II to in Butoloogo S/C and Butawata HC II to HC IIIs – Payment Request dated 18th August 2019; Certified by the DHO and DE on 12th September 2019; 30 days - Paid UGX 117,320,720 . This was an exception.

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0

Maximum 10 points on this performance measure

The procurement files for health infrastructure projects for the current FY were not complete.

The projects sampled did not have the complete set of documents required for a procurement plan as per PPDA.

1. Proj Name: Upgrading Mugungulu HC II to HC III

Approved Under: Min 08/02/27/2019/20

Date of approval of evaluation report: 23-Oct-2020

Date of Award Notification: 09-Nov-2020

Proposed Date of Contract Signature: 12-Nov-2020

Contract Price: 617,500,000

2. Proj Name: Construction of General Ward at Kalongo HC III

Approved Under: Min 08/02/27/2019/20

Date of approval of evaluation report: 23-Oct-2020

Date of Award Notification: 09-Nov-2020

Proposed Date of Contract Signature: 12-Nov-2020

Contract Price: 178,676,600

3. Proj Name: Completion of Kiyita HC II Staff House

Approved Under: Min 08/02/27/2019/20

Date of approval of evaluation report: 23-Oct-2020

Date of Award Notification: 09-Nov-2020

Proposed Date of Contract Signature: 12-Nov-2020

Contract Price: 5,500,300

Note: The above health projects in procurement plan FY 20/21 were approved during a Contracts Committee meeting held on 27-Feb-2020 which was chaired and signed off by Hon Museveni William

Some constituents of a complete procurement file were not availed.

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>Two grievance issues were on record, namely;</p> <p>1) Ms. Katasi Viola- Assistant Nursing officer who was irregular on duty especially since the onset of Covi-19;</p> <p>2) Mr. Kayita Leonard - Records person who was also irregularly present at the work station.</p> <p>The GRC was composed of four people who attended to these cases.</p>	2
	<p>Maximum 2 points on this performance measure</p>			
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>There was evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities. Those sampled were as follows:</p> <p>1) A distribution list for management posters for effective delivery of health services dated 8th April 2020 was available signed by 21 recipients for these posters.</p> <p>2) A distribution list dated 14/01/2020 for HUMIC Guidelines had ten recipients.</p>	2
	<p>Maximum 5 points on this performance measure</p>			
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>There was evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste. The following was noted in this regard:</p> <p>1) Green Label Company collects all medical waste from the District Headquarters. Individual Health Facilities gather their waste at the District then Green Label Company picks from the District Headquarters.</p> <p>2) A stores assistant stationed at the district works hand in hand with the District Medicines Management Supervisor (DMMS) and Medicines Management Supervisor (MMS) to collect expired drugs and other medical waste.</p> <p>3) There are three incinerators for burning medical waste in the District at:</p> <p>a) Mubemde Regional Referral Hospital;</p> <p>b) Kasambya HC III; and</p> <p>c) St. Joseph Maddudu HC III.</p>	2
	<p>Maximum 5 points on this performance measure</p>			

15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery	c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0	There was evidence that the LG has conducted trainings and created awareness in healthcare waste management. Training sessions were conducted and documented as follows:	1
	Maximum 5 points on this performance measure		<p>1) Training for Counseling in Mubende dated 30th September 2020 together with 'Youth Alive' was available;</p> <p>2) Training VHTs on Childhood disability under UNICEF/COWADISA was held at Katente West Primary School on 7th - 11th September 2020; and</p> <p>3) Training of VHTs per sub county on RMNCAH activities was done Sept - Nov in their respective sub counties.</p>	
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0	A costed Environmental and Social Management Plan 2019/2020 was available and signed by the Senior Environmental Officer and verified by the District Natural resources Officer. It included all projects for implementation during the FY 2019/2020.	2
	Maximum 8 points on this performance measure			
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0	<p>There was evidence that all health sector projects were implemented on land where the LG had proof of ownership, access and availability. Among the titles presented included:</p> <p>1) Nabingoola HC III, on Plot 75, Block 262, Nabingoola, Buwekula County;</p> <p>2) Kitenga HC III, on Plot 314, Block 339, Vol/Folio HQT1818/14, Kyenda, Buwekula County; and</p> <p>3) Maddudu HC III, which was at the stage of Deed Plans.</p>	2
	Maximum 8 points on this performance measure			

16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.	There was evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provided monthly reports. Among reports presented included: 1) Renovation of OPD at Nkandwa HC II, Kibalanga S/County, dated 5/5/2020; 2) Renovation of OPD at Nkandwa HC II, Kibalanga S/County, dated 7/5/2020; and 3) Report on upgrade of HC IIs to HC IIIs for Butawata and Butoloogo Health Centres in Mubemde District, dated 28/7/2020.	2
Maximum 8 points on this performance measure				
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0	There was evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects. Among those presented included: 1) Renovation of Butawata OPD, dated 10/06/2020. It was Certification Form No: 2019/013; 2) Renovation of Nkandwa OPD dated 11/06/2019. It was Certification Form No: 2019/012; 3) Construction of Butawata HC III, dated 10/06/2020. It was Certification Form No: 2019/0113; 4) Renovation of Kakigando HC II, dated 11/06/2020. It was Certification Form No: 2019/013; and 5) Construction of staff house at Kiyita HC II, dated 10/06/2020. It was Certification Form No: 2019/014.	2
Maximum 8 points on this performance measure				

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees <i>Maximum 4 points on this performance measure</i>	a. % of rural water sources that are functional. If the district rural water source functionality as per the sector MIS is: o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0	According to the Management Information System (MIS) of the Ministry of Water and Environment, the functionality of the rural water sources in Mubende District as of November 29th, 2020 was 93%.	2
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees <i>Maximum 4 points on this performance measure</i>	b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is: o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0	According to the Management Information System (MIS) of the Ministry of Water and Environment, the facilities in Mubende District with functional water and sanitation committees as of November 29th, 2020 was 83%	1
2	Service Delivery Performance: Average score in the water and environment LLGs performance assessment <i>Maximum 8 points on this performance measure</i>	a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is a. Above 80% score 2 b. 60 -80%: 1 c. Below 60: 0 (Only applicable when LLG assessment starts)	According to the District Planner – Ms. Nakawuki Prisca (Tel: 0772367480), the Lower Local Government Assessment had started for Mubende District Local Government. The report for the Assessment held on August 17th-27th, 2020. The score for the water sector in the sub counties of the district was not indicated in the assessment report shared with the assessor and therefore the parameter could not be awarded points.	0
2	Service Delivery Performance: Average score in the water and environment LLGs performance assessment	b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.	Mubende District has 10 Lower Local Government (09 rural sub counties and 1 town council) namely: Kiyuuni Sub County (with a coverage of 95%), Madudu Sub County (with a coverage of 51%), Butoloogo Sub County (with a coverage of 27%), Kibalinga Sub County (with a	0

Maximum 8 points on this performance measure

o If 100 % of water projects are implemented in the targeted S/Cs: Score 2

o If 80-99%: Score 1

o If below 80 %: Score 0

coverage of 55%), Kigando Sub County (with a coverage of 29%), Nabingoola Sub County (with a coverage of 18%), Bagezza Sub County (with a coverage of 95%), Kitenga Sub County (with a coverage of 67%), Kasambya Sub County (with a coverage of 40%) and Mubende TC.

The average rural water coverage for Mubende district is 38%. This made Nabingoola Sub County (with a coverage of 18%), Kitenga Sub County (with a coverage of 21%), Butoloogo Sub County (with a coverage of 27%), and Kigando Sub County (with a coverage of 29%), the sub counties with coverage below the district average coverage (38%).

According to the Annual Work plan 2019/2020 which was submitted to the Ministry of Water and Environment on July 10th, 2019 - under cover letter Ref: CR/MUB/210/3 - and received (and approved) on July 18th, 2019, twenty two (#22) infrastructure projects were planned namely:

- Drilling (inclusive of siting, drilling supervision and installation) of 08 boreholes at Sub County at a cost of UGX 184,117,098/=;

- Drilling of 02 production wells at a cost of UGX 66,948,800/=

- Designing of 01 Powered Water Supply Scheme in Kigandao RGC in Kigando Sub County

- Designing of 01 Gravity Flow scheme in Bugomba LC I Butoloogo Sub County at a cost of UGX 113,338,352/=;

- Rehabilitation of 10 old boreholes at a cost of UGX 69,773,480/=

The District Quarter 4 (Annual) Report (2019-2020) was submitted to the Ministry of Water and Environment (Ref: CR/MUB/210/3) on June 30th, 2020 and was received (acknowledged) on July 10th, 2020. This was reviewed during the assessment. Of the 22 projects which were planned and implemented in the year 2019/2020, only 8 (36%) projects were planned and implemented in the sub counties with water coverage below district average coverage. These were:

- Drilling of hand pumped boreholes (#04);

- Drilling of Production Borehole (#01);

- Rehabilitation of old boreholes (#01); and

- Designing of piped water schemes (#02).

Thus only 08 (36%) of the 22 planned projects were implemented in the sub counties with water coverage below district average coverage.

Service Delivery Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

According to the Annual Work plan 2019/2020 which was submitted to the Ministry of Water and Environment on July 10th, 2019 - under cover letter Ref: CR/MUB/210/3 - and received (and approved) on July 18th, 2019, twenty two (#22) infrastructure projects were planned through five infrastructure contracts namely:

- Drilling (inclusive of siting, drilling supervision and installation) of 08 boreholes at Sub County at a cost of UGX 184,117,098/=;

- Drilling of 02 production wells at a cost of UGX 66,948,800/=

- Designing of 01 Powered Water Supply Scheme in Kigandao RGC in Kigando Sub County; and

- Designing of 01 Gravity Flow scheme in Bugomba LC I Butoloogo Sub County at a cost of UGX 113,338,352/=;

- Rehabilitation of 10 old boreholes at a cost of UGX 69,773,480/=

According to the District Quarter 4 (Annual) Report (2019-2020) which was submitted to the Ministry of Water and Environment (Ref: CR/MUB/210/3) on June 30th, 2020 and was received (acknowledged) on July 10th, 2020, the above planned infrastructure projects were executed through four contracts namely:

- Drilling (inclusive of siting, drilling supervision and installation) of 08 boreholes at a cost of UGX 180,761,179/= Procurement Ref:

Mube/541/Wrks/19-20/00006 with Galaxy Agro Tech. Uganda Ltd signed November 11th, 2019;

- Drilling (inclusive of siting, drilling supervision and installation) of 02 production boreholes at a cost of UGX 63,861,600/= Procurement Ref: Mube/541/Wrks/19-20/00007 with Galaxy Agro Tech. Uganda Ltd signed November 11th, 2019;

- Designing of two piped water systems at a cost of UGX 104,996,400/= Procurement Ref: Mube/541/Srves/19-20/00001 with Asense Services Ltd signed November 11th, 2019; and

- Rehabilitation of 10 old boreholes at a cost of UGX 68,402,579/=through MoU with Mubende Pump Mechanics Association signed on July 01st, 2019

Copies of these contract documents were reviewed during the assessment. On the basis of this information, it was thus observed that the variations in the contract sum from planned sum were as follows:

- the contract for Drilling of 08 boreholes

(inclusive of siting) was done at a deviation of 2% below the engineer estimate;

- the contract for Drilling of 2 boreholes (inclusive of siting) was done at a deviation of 5% below the engineer estimate

- Design of 2 Piped Water Scheme was done at a deviation of 7% below the engineers estimate;
and

- Rehabilitation of 10 boreholes was done at a deviation of 2% below the Engineers estimation.

All the contracts in the district were implemented well below the 20% deviation benchmark.

Service Delivery
Performance: Average
score in the water and
environment LLGs
performance
assessment

*Maximum 8 points on
this performance
measure*

d. % of WSS infrastructure
projects completed as per
annual work plan by end of FY.

o If 100% projects completed:
score 2

o If 80-99% projects completed:
score 1

o If projects completed are
below 80%: 0

According to the Annual Work plan 2019/2020
which was submitted to the Ministry of Water and
Environment on July 10th, 2019 - under cover
letter Ref: CR/MUB/210/3 - and received (and
approved) on July 18th, 2019, twenty two (#22)
infrastructure projects were planned namely:

- Drilling (inclusive of siting, drilling supervision
and installation) boreholes (# 08) at a cost of UGX
184,117,098/=;

- Drilling of 02 production wells at a cost of UGX
66,948,800/=

- Designing of 01 Powered Water Supply Scheme
in Kigandao RGC in Kigando Sub County; and

- Designing of 01 Gravity Flow scheme in
Bugomba LC I Butoloogo Sub County at a cost of
UGX 113, 338,352/=;

- Rehabilitation of 10 old boreholes at a cost of
UGX 69,773,480/=

According to the District Quarter 4 (Annual)
Report (2019-2020) which was submitted to the
Ministry of Water and Environment (Ref:
CR/MUB/210/3) on June 30th, 2020 and was
received (acknowledged) on July 10th, 2020, the
under mentioned planned infrastructure projects
were executed during the year namely:

- Drilling (inclusive of siting, drilling supervision
and installation) of 08 boreholes at a cost of UGX
180,761,179/= Procurement Ref:
Mube/541/Wrks/19-20/00006 with Galaxy Agro
Tech. Uganda Ltd signed November 11th, 2019;

- Drilling (inclusive of siting, drilling supervision
and installation) of 02 production boreholes at a
cost of UGX 63,861,600/= Procurement Ref:
Mube/541/Wrks/19-20/00007 with Galaxy Agro
Tech. Uganda Ltd signed November 11th, 2019;

- Designing of two piped water systems at a cost
of UGX 104,996,400/= Procurement Ref:
Mube/541/Srves/19-20/00001 with Asense
Services Ltd signed November 11th, 2019; and

- Rehabilitation of 10 old boreholes at a cost of
UGX 68,402,579/=through MoU with Mubende
Pump Mechanics Association signed on July
01st, 2019

All the planned infrastructure activities were
implemented during the year.

3	<p>Achievement of Standards: The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <p>o If there is an increase: score 2</p> <p>o If no increase: score 0.</p>	<p>According to the Management Information System (MIS) of the Ministry of Water and Environment, the functionality of the water supply facilities in Mubende District for the year 2018-2019 was 93%. The functionality of water supply facilities the year 2019-2020 was 95% which represents an increase of 2% in the functionality of facilities in the district.</p>	2
3	<p>Achievement of Standards: The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 5%: score 2</p> <p>o If increase is between 0-5%: score 1</p> <p>o If there is no increase: score 0.</p>	<p>According to the Management Information System (MIS) of the Ministry of Water and Environment, the facilities with functional Water Supply Committees in Mubende District in the year 2018-2019 was 84% while that for the year 2019-2020 was 83% which represented a decrease of 1% in facilities with functional water sources committees.</p>	0

Performance Reporting and Performance Improvement

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<p>The District Annual (Quarter 4) Performance Report (2019-2020) which was submitted to the Ministry of Water and Environment (Ref: CR/MUB/210/3) on June 30th, 2020 and was received (acknowledged) on July 10th, 2020, The activities implemented included:</p> <ul style="list-style-type: none"> - Drilling (inclusive of siting, drilling supervision and installation) boreholes (# 08) at a cost of UGX 184,117,098/=; - Drilling of 02 production wells at a cost of UGX 66,948,800/= - Designing of 01 Powered Water Supply Scheme in Kigandao RGC in Kigando Sub County; and - Designing of 01 Gravity Flow scheme in Bugomba LC I Butoloogo Sub County at a cost of UGX 113, 338,352/=; - Rehabilitation of 10 old boreholes at a cost of UGX 69,773,480/= <p>Three of these projects were visited namely:</p> <ul style="list-style-type: none"> - Borehole DWD 56784 located in Kitonzi Village, Kitonzi Parish, Nabingoola Sub County at GPS coordinates 36N0294991, UTM0048758, Altitude 1364. At the time of verification, the borehole was functional as reflected in Quarter 4 (Annual) report mentioned above. I physically met and talked to 	3
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Mr. Bukenya Bernard (Land Owner – Tel 0759541845 who expressed satisfaction with the state of the borehole;

- Borehole DWD 56787 located in Lusaana Village, Kabbo B Parish, Kasambya Sub County at GPS coordinates 36N0300286, UTM0036473, Altitude 1213m. At the time of verification, the borehole was functional as reflected in Quarter 4 (Annual) report mentioned above. I physically met and talked to Mr. Badidi Eriveste – LCI Secretary for Environment (Tel - 0780487121) who expressed satisfaction with the state of the borehole; and

- Borehole DWD 56783 located in Kayunga Village, Mugoloode Parish, Kigando Sub County at GPS coordinates 36N0309248, UTM0042044, Altitude 1226m. At the time of verification, the borehole was functional as reflected in Quarter 4 (Annual) report mentioned above. I physically met and talked to Mr. Muhwezi Patrick – Land Owner and Publicity Secretary (Tel - 0779611646) who expressed satisfaction with the state of the borehole.

At the time of the verification, three boreholes drilled in the different locations of the district were visited – they were found to be functional and serving the communities as contained in the annual report.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2

Evidence that the District Water Office monitored each Water Supply and Sanitation facilities to monitor their functionality was sought during the assessment exercise. The information on the new boreholes collected on Form 1(#09) was collected and compiled into a report prepared and endorsed by Mr. Mutesasira Keefa (Tel: 0772 614592) the District Water Officer. Information on Form 4 pertaining existing water sources was appended to the Annual Report (quarter 4) which was sent to ministry where it was received July 10th, 2020. The report contained data on all the water sources in Mubende district. Photocopies of the respective Form 1 were seen as evidence for this. All Form 1 were prepared and verified by Mr. Mutesasira Keefa. Similar data (form 4) were appended to the respective quarterly reports as follows:

- Quarter 1 Report (Ref: CR/MUB/210/3) submitted to the Ministry of Water and Environment on October 10th, 2019 and was received on October 15th, 2019;

- Quarter 2 Report (Ref: CR/MUB/210/3) submitted to the Ministry of Water and Environment on January 09th, 2020 and was received on January 10th, 2020;

- Quarter 3 Report (Ref: CR/MUB/210/3) submitted to the Ministry of Water and Environment on March 31st, 2020 and was received on August 31st, 2020; and

- Quarter 4 Report (Ref: CR/MUB/210/3) submitted to the Ministry of Water and Environment on June 30th, 2020 and was received on July 10th, 2020.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0

The District Water Officer had an MIS (WSS Data) at the time of the assessment. The Local Government Water Office updated the MIS (WSS data) twice a year not quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) Evidence of Bi Annual update of the MIS was seen in the quarterly reports that were provided during the assessment. The reports reviewed were as follows:

- Quarter 1 Report (Ref: CR/MUB/210/3) submitted to the Ministry of Water and Environment on October 10th, 2019 and was received on October 15th, 2019;

- Quarter 2 Report (Ref: CR/MUB/210/3) submitted to the Ministry of Water and Environment on January 09th, 2020 and was received on January 10th, 2020;

- Quarter 3 Report (Ref: CR/MUB/210/3) submitted to the Ministry of Water and Environment on March 31st, 2020 and was received on August 31st, 2020; and

- Quarter 4 Report (Ref: CR/MUB/210/3) submitted to the Ministry of Water and Environment on June 30th, 2020 and was received on July 10th, 2020.

It was noted that the MIS Data in the Quarter1 Report was the same as that in Quarter 2 Report. Similarly, it was noted the MIS data in Quarter 3 Report was the same as the data in Quarter 4 (Annual) Report. This information was contained of the respective 5th page (page 5) of each of the individual quarterly report.

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0

Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.

According to the Principal District Planner - Ms. Prisca Nakawuki (Tel: 0772356692), the Lower Local Government Assessment had started for Mubende District Local Government. However, the LLG Assessment Reports availed did not have the scores of the individual sub counties. Equally, there was no copies of Performance Improvement Plan (PIP) that were received and/or reviewed during the assessment.

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>There was no evidence that the DWO budgeted for all Water & Sanitation staff:</p> <p>The positions of 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene) and 1 Borehole Maintenance Technician were vacant.</p>	0
6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>There was evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff:</p> <p>The LG had recruited a Natural Resources Officer Kinene Vincent on 20/2/2015, Min No. 5/2015(d), Environment Officer Bisangabasaija Sufyan on 1/3/2019 Min No 15/2019 (15.19) and Forestry Officer Tumwesigye Alex on 1/3/2019, Min No. 15/2019 (15.20.1). This was an indicator that they were part of the staff Budgeted for.</p>	2
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>There was no evidence that the DWO appraised District Water Office staff against the agreed performance plans during the previous FY.</p> <p>The Civil Engineer (Water) Kateregga Dickson was appraised on 6/7/2020.</p> <p>The other staff were not yet recruited.</p>	3
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>The District Water Office had District Water Officer, Assistant Water Officer, and Borehole Technician as the substantive Staff. There was no capacity needs of the staff that was identified. Equally, there were no training activities neither was there any training plans at the district level documented in the training database.</p>	0

Management, Monitoring and Supervision of Services.

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<ul style="list-style-type: none"> • a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district: • • If 100 % of the budget 	<p>Mubende District has 10 Lower Local Government (09 rural sub counties and 1 town council) namely: Kiyuuni Sub County (with a coverage of 95%), Madudu Sub County (with a coverage of 51%), Butolooogo Sub County (with a coverage of 27%), Kibalinga Sub County (with a coverage of 55%), Kigando Sub County (with a coverage of 29%), Nabingoola Sub County (with</p>	1
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Maximum 6 points on this performance measure

allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3

- If 80-99%: Score 2
- If 60-79: Score 1
- If below 60 %: Score 0

a coverage of 18%), Bagezza Sub County (with a coverage of 95%), Kitenga Sub County (with a coverage of 67%), Kasambya Sub County (with a coverage of 40%) and Mubende TC.

The average rural water coverage for Mubende district is 38%. This made Nabigoola Sub County (with a coverage of 18%), Kitenga Sub County (with a coverage of 21%), Butoloogo Sub County (with a coverage of 27%), and Kigando Sub County (with a coverage of 29%), the sub counties with coverage below the district average coverage (38%).

According to the District Annual Work plan (2020-2021) which was submitted to the Ministry of Water and Environment (Ref: CR/MUB/210/3) on July 01st, 2020 and was received (and approved) on July 10th, 2020. The planned activities included:

- Drilling (and Siting) of 07 hand pump boreholes budgeted at UGX 168,000,000/=;
- Drilling (and Siting) of 02 pumped (production) boreholes budgeted at UGX 64,000,000/=;
- Design of 2 piped water supply scheme at Kyezza RGC (Ntorogo Sub County) and Kyabayanja RGC (Kiyuni Sub County) at a cost of UGX 120,000,000/=;
- Construction of gravity flow piped water systems in Bugomba RGC in Butoloogo Sub County at a cost of UGX 171,073,554/=
- Construction of motorized piped water systems in Kigando RGC(Kigando Sub County) at a cost of UGX 169,986,505/=
- Rehabilitation of 10 boreholes budgeted at UGX 68,000,000/=;
- Construction of Two (#02) 5- stances Lined Pit Latrine at Ikula RGC (Kigando Sub County) and Kyabayanja RGC (Kiyuni Sub County) at a cost of UGX 50,000,000/=

25 projects costing UGX 811,060,059 have been planned. Of the 25 projects planned and budgeted (UGX 811,060,059/=) for the entire district, only 13 projects were planned in the sub counties with water coverage below the district average. These 13 projects included Drilling of 2 Hand Pumps at a cost of UGX 48,000,000/=, Drilling of 1 production well at a cost of UGX 32,000,000/=, design of a pumped scheme at a cost of UGX 60,000,000/=, construction of gravity flow scheme at a cost of UGX 171,073,554/=, construction of pumped water scheme at a cost of UGX 169,986,505/=, rehabilitation of 6 boreholes at a cost of UGX 40,800,000/=, and construction

of pit latrine at a cost of UGX 25,000,000/=. Thus UGX 546,860,059/=(67%) of the budgeted UGX 811,060,059/=: were allocated to the sub counties with water coverage below district average coverage.

8

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3

The District Water Office(r) did publicized the projects to be implemented in the different Lower Local Governments, in the year 2020/2021 including of the respective allocations per project planned in respective Sub County notice board. This was done in a communication by the District Water Officer which was sent out on July 2nd, 2020. This communication had been acknowledged by the respective individual Senior Assistant Secretaries for all the sub counties in the district.

3

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)

- If more than 95% of the WSS facilities monitored quarterly: score 4
- If 80-99% of the WSS facilities monitored quarterly: score 2
- If less than 80% of the WSS facilities monitored quarterly: Score 0

Evidence that the District Water Office monitored each Water Supply and Sanitation facilities to monitor their functionality was sought during the assessment exercise. In that pursuit, four Quarterly Reports were provided by Local government District Water Office(r) for review during the assessment. The report contained data on all the water sources in Mubende district. Photocopies of the respective Form 1 were seen as evidence for this. All Form 1 were prepared and verified by Mr. Mutesasira Keefa (Tel: 0772 614592). Similarly, information on Form 4 pertaining existing water sources was appended to the respective quarterly reports which were sent to the Ministry Head Quarters as follows:

- Quarter 1 Report (Ref: CR/MUB/210/3) submitted to the Ministry of Water and Environment on October 10th, 2019 and was received on October 15th, 2019;

- Quarter 2 Report (Ref: CR/MUB/210/3) submitted to the Ministry of Water and Environment on January 09th, 2020 and was received on January 10th, 2020;

- Quarter 3 Report (Ref: CR/MUB/210/3) submitted to the Ministry of Water and Environment on March 31st, 2020 and was received on August 31st, 2020; and

- Quarter 4 Report (Ref: CR/MUB/210/3) submitted to the Ministry of Water and Environment on June 30th, 2020 and was received on July 10th, 2020.

These reports were checked to ascertain whether the Local Government Water Office collected and compiled quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement. Based on the content of each of the reports, the information had been collected and appended to the respective quarterly reports for more than 80% of the water sources. Copies of Form 4 for existing water sources were seen.

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p>	<p>The District Water Office(r) conducted a District Water and Sanitation Committee Coordination meeting for Kitenga Sub County on May 15th, 2020. The minutes of the meeting that were seen during the assessment did not show the list of participants. The minutes of the meeting were prepared by Mr. Kiyanja Ahmed (CDO-Water)</p>	2
	<p><i>Maximum 8 points on this performance measure</i></p>		<p>Minutes of the District Water and Sanitation Committee Coordination meeting for Mubende District were seen. The meeting had been held on September 09th, 2019 in the works Department. It was chaired by Mr. Nkalubo Judas (SAS) while the minutes were prepared by Mr. Kiyanja Ahmed.</p>	
			<p>Minutes of the District Water and Sanitation Committee Coordination meeting for Butongoolo Sub County were seen. The meeting had been held on December 10th, 2019 in the Butoloogo Sub County. It was chaired by Mr. Yiiki Andrew Stanley (SAS) while the minutes were prepared by Mr. Kiyanja Ahmed. It was attended by 12 participants, of whom 3 (25%) were female.</p>	
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>The District Water Office(r) did publicized the projects to be implemented in the different Lower Local Governments, in the year 2020/2021 including of the respective allocations per project planned in respective Sub County. This was done in a communication by the District Water Officer which was sent out on July 2nd, 2020. This communication had been acknowledged by the respective individual Senior Assistant Secretaries for all the sub counties in the district.</p>	2
	<p><i>Maximum 8 points on this performance measure</i></p>			
10	<p>Mobilization for WSS is conducted</p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p>	<p>The budget was contained in the Annual Work plan 2019-2020 which was submitted on July 10th, 2019 (Ref: CR/MUB/210/3). It was received and approved by the Ministry on July 18th, 2019. In the budget, UGX 37,236,245/= was budgeted for NWR, of this UGX 19,026,237/= (51%) was allocated to activities related to mobilization. This was contained on first two pages (1 of 3 and 2 of 3) of the approved budget which was annexed on the Annual Work plan. The activities related to mobilization in the same budget included lines 1.1-1.3, and budget lines 6.1 – 6.19.</p>	3
	<p><i>Maximum 6 points on this performance measure</i></p>	<ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 		

Mobilization for WSS is conducted

Maximum 6 points on this performance measure

b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.

The District Water Officer in liaison with the Community Development Officer had reportedly trained WSCs on their roles on O&M of WSS facilities. Report on the Formation of Water Sources Committees was presented for review during the assessment. Eight new water sources drilled did have water source committees formed and/or trained. The un signed and un delivered training report dated February 25th, 2020 was provided as evidence and was reviewed during the assessment. It had been prepared by Mr. Simon Bingi (not signed). The report had incoherent attendance lists which were difficult to collated to the training. Three water sources committees were checked on the recall of the training content. None of the committee members remembered being trained later on the content of the training

Investment Management

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:

Score 4 or else 0

The list of water facilities inclusive of their location in the lower local government was provided by the DWO. These were contained on Form 1 for the new water sources and Form 4 for the old/ existing water sources that were dated July 09th, 2020 and received at the Ministry of Water and Environment on July 10th, 2020. The other District Water Officer DWO assets (equipment, tools etc) were contained in the asset register of the entire Mubende District. Copy of the register was provided/ presented for review during the assessment. They included:

- Dell Desk top Computer costed at UGX 2,000,000/=

- HP Laser Jet Printer valued at UGX 1,000,000/=

- Office Table,

- Office Chair - 02 in number,

- Book Shelves, and

- Cap Board

The water page was updated in July 2019 when the last asset was purchased.

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

b. Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:

Score 4 or else score 0.

There was evidence that the planned WSS projects were in the District Annual Work plan (2020-2021) and were desk-appraised

- Drilling (and Siting) of 07 hand pump boreholes budgeted at UGX 168,000,000/=;

- Drilling (and Siting) of 02 pumped (production) boreholes budgeted at UGX 64,000,000/=;

- Design of 2 piped water supply scheme at Kyezza RGC (Ntorogo Sub County) and Kyabayanja RGC (Kiyuni Sub County) at a cost of UGX 120,000,000/=;

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2

According to Annual Work Plan (2020/2021) which was submitted to the Ministry of Water and Environment on July 01st, 2020 - under cover letter Ref CR/MUB/210/3 – and received (and approved) July 10th, 2020, the planned activities for the year (2020/2021) included: drilling (inclusive of siting and drilling supervision) of 08 boreholes, Rehabilitation of 10 boreholes, design of 2 piped water supply schemes. Applications were available for the planned 08 new boreholes.

The applications that were available (seen during the assessment) included the following:

- Drilling of 1 new borehole for Katagi Village Kyazze Parish, Butoloogo Sub County which was signed by Mr. Tumwene Bazirio (0787722104), LC I Chairperson on August 11th, 2019;
- Drilling of 1 new Borehole for Kabutu LC I, Kabowa Parish, Kibalinga Sub County which was signed by Mr. Bizimungu Cyprian- Tel 0700789093 (Sec. VHT) on April 04th, 2020;
- Drilling of 1 new Borehole for Muyinayina LCi Village, Muyinayina Parish, Kasambya Sub County which was signed by Mr.Kaziro Stephen Tel 0753254040 (LCI Chairperson) on July 26th, 2020;
- Drilling of 1 new Borehole for Sunga LCi Village, Kabyuma Parish, Kitenga Sub County which was signed by Mr. Ncunguyinka Yoweri (LCI Chairperson) on June 29th, 2020;
- Drilling of 1 new Borehole for Kifulumambogo LCi Village, Kyezza Parish, Butoloogo Sub County which was signed by Mr. Ndukuze Paul 0788829041 (LCI Chairperson) on June 26th, 2020;
- Drilling of 1 new Borehole for Kyabwesenge LC I Village, Muyinayina Parish, Kasambya Sub County which was signed by Mr. Kanani Francis 0784727555 (LCI Chairperson) on July 26th, 2020;
- Drilling of 1 new Borehole for Kiteredde LCI Village, Kabyuma Parish, Kitenga Sub County which was signed by Mr. Mwanje Jowakim 0788798705 (LCI Chairperson) on October 10th, 2020; and
- Drilling of 1 new Borehole for Kabulungi LC I Village, Kabalungi Parish, Nabingoola Sub County which was signed by Mr. Hasani Katulamu Tel 0786524471 (LCI Chairperson) on July 02nd, 2019

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>There was no evidence that field appraisal had been conducted to determine technical feasibility, environmental and social acceptability.</p>	0
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>There was evidence that the relevant technical officers carried out monthly technical supervision of WSS Infrastructure projects. Supervision Reports produced included:</p> <p>1) Minutes of sensitization meeting held at Kyezza Production Well at Kyezza Town Butolooogo sub county on 23rd/12/2019; and</p> <p>2) Minutes of community sensitization meeting held at Kayunga village, Kigando sub county on 8th/01/2020.</p>	2

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0

The water and sanitation infrastructure projects were incorporated in the procurement plan.

There is evidence of existence of projects in the submission of Mubende DLG Procurement Plan for the FY 2019/20 to PPDA dated 09-Aug-2019 and signed off by the CAO, Lilian Nakamatte

The following are the sampled projects.

Sampled project

1. Project Name: Siting and Drilling of 2 Motorized Production Wells in Variuos S/C in Mubende

Contract No: Mube541/Wrks/19-20/00007

Approved under: Min 13/10/19-20

Contract Price: 63,861,600

Contractor: Galaxy Agro-Tech (U) Ltd

Evaluation Report signed on: 18-Oct-2019 and signed off by Mutesasira Keefa (Chair Person)

2. Project Name: Provision of Consultancy Services for the Design of Bugomba Gravity Flow Scheme and Butawata Motorized Piped Water System in Various S/C in Mubende

Contract No: Mube541/Srvcs/19-20/00001

Approved under: Min 13/10/19-20

Contract Price: 104,996,400

Contractor: Asense Services Ltd

Evaluation Report signed on: 18-Oct-2019 and signed off by Mutesasira Keefa (Chair Person)

3. Project Name: Siting, Drilling and Installation of 8 Hand Pumped Deep Boreholes in Various S/C in Mubende

Contract No: Mube541/Wrks/19-20/00006

Approved under: Min 13/10/19-20

Contract Price: 180,761,179

Contractor: Galaxy Agro-Tech (U) Ltd

Evaluation Report signed on: 18-Oct-2019 and signed off by Mutesasira Keefa (Chair Person)

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction
Score 2:

There was evidence that water supply and public sanitation infrastructure projects for the current FY were approved by the Contracts Committee before commencement of construction

Sampled project

1. Project Name: Siting and Drilling of 2 Motorized Production Wells in Variuos S/C in Mubende

Contract No: Mube541/Wrks/19-20/00007

Approved under: Min 13/10/19-20

Contract Price: 63,861,600

Contractor: Galaxy Agro-Tech (U) Ltd

2. Project Name: Provision of Consultancy Services for the Design of Bugomba Gravity Flow Scheme and Butawata Motorized Piped Water System in Various S/C in Mubende

Contract No: Mube541/Srvcs/19-20/00001

Approved under: Min 13/10/19-20

Contract Price: 104,996,400

Contractor: Asense Services Ltd

3. Project Name: Siting, Drilling and Installation of 8 Hand Pumped Deep Boreholes in Various S/C in Mubende

Contract No: Mube541/Wrks/19-20/00006

Approved under: Min 13/10/19-20

Contract Price: 180,761,179

Contractor: Galaxy Agro-Tech (U) Ltd

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:

There was no evidence that the LG established the project implementation team as specified in the sector guidelines.

Contracts Implementation and management plans were availed but the only technical person on board was the Contract Manager

The following are the sampled projects.

Sampled project

1. Project Name: Siting and Drilling of 2 Motorized Production Wells in Variuos S/C in Mubende

Contract No: Mube541/Wrks/19-20/00007

Approved under: Min 13/10/19-20

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Contractor: Galaxy Agro-Tech (U) Ltd

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Contract Price: 104,996,400

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Approved under: Min 13/10/19-20

Contract Price: 180,761,179

Contractor: Galaxy Agro-Tech (U) Ltd

Evaluation Report signed on: 18-Oct-2019 and signed off by Mutesasira Keefa (Chair Person)

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2

Mubende district Local Government did not construct any latrine during year. Eight boreholes were constructed during the year, three of which were visited as part of the field verification. I attest that the visited boreholes had their platforms constructed according to the national standard design for the hand pumps. The boreholes had also been installed with hand pumps of Uganda Mark II (U2) which is the equivalent of India Mark 2. This is the national technology of choice for hand pumped boreholes.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects:
Score 2

There was no sufficient evidence that the District Engineer, DWO, Environment officer and CDO participated in supervising the WSS projects.

Contracts Implementation and management plans were availed but the only technical person on board was the Contract Manager.

The following are the sampled projects.

Sampled project

1. Project Name: Siting and Drilling of 2 Motorized Production Wells in Variuos S/C in Mubende

Contract No: Mube541/Wrks/19-20/00007

Approved under: Min 13/10/19-20

Contract Price: 63,861,600

Contractor: Galaxy Agro-Tech (U) Ltd

Evaluation Report signed on: 18-Oct-2019 and signed off by Mutesasira Keefa (Chair Person)

2. Project Name: Provision of Consultancy Services for the Design of Bugomba Gravity Flow Scheme and Butawata Motorized Piped Water System in Various S/C in Mubende

Contract No: Mube541/Srvcs/19-20/00001

Approved under: Min 13/10/19-20

Contract Price: 104,996,400

Contractor: Asense Services Ltd

Evaluation Report signed on: 18-Oct-2019 and signed off by Mutesasira Keefa (Chair Person)

3. Project Name: Siting, Drilling and Installation of 8 Hand Pumped Deep Boreholes in Various S/C in Mubende

Contract No: Mube541/Wrks/19-20/00006

Approved under: Min 13/10/19-20

Contract Price: 180,761,179

Contractor: Galaxy Agro-Tech (U) Ltd

Evaluation Report signed on: 18-Oct-2019 and signed off by Mutesasira Keefa (Chair Person)

<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts</p>	<p>There was no evidence that the LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement)</p>
<p><i>Maximum 14 points on this performance measure</i></p>	<p>o If 100 % contracts paid on time: Score 2 o If not score 0</p>	

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:

Score 2, If not score 0

Each contract for water infrastructure investments had all relevant records as per the PPDA law

The following are the sampled projects.

Sampled project

1. Project Name: Siting and Drilling of 2 Motorized Production Wells in Variuos S/C in Mubende

Contract No: Mube541/Wrks/19-20/00007

Approved under: Min 13/10/19-20

Contract Price: 63,861,600

Contractor: Galaxy Agro-Tech (U) Ltd

Evaluation Report signed on: 18-Oct-2019 and signed off by Mutesasira Keefa (Chair Person)

2. Project Name: Provision of Consultancy Services for the Design of Bugomba Gravity Flow Scheme and Butawata Motorized Piped Water System in Various S/C in Mubende

Contract No: Mube541/Srvcs/19-20/00001

Approved under: Min 13/10/19-20

Contract Price: 104,996,400

Contractor: Asense Services Ltd

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Contract No: Mube541/Wrks/19-20/00006

Approved under: Min 13/10/19-20

Contract Price: 180,761,179

Contractor: Galaxy Agro-Tech (U) Ltd

Evaluation Report signed on: 18-Oct-2019 and signed off by Mutesasira Keefa (Chair Person)

Environment and Social Requirements

13	<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p>	<p>No cases recorded here.</p>	3
14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>A Booklet titled: "Mubende District Local Government Guidelines for Water Source Protection - 2019 - 2020" was available and was said to have had been disseminated to CDOs. But the dissemination list was missing.</p>	0
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>A document titled "Mubende District Local Government Water Resources Management Plan" was available. It clearly tabulated the ID, Description, parameters, Location, Standards, Frequency and Funds Allocated were indicated.</p>	3

Safeguards in the
Delivery of Investments

*Maximum 10 points on
this performance
measure*

b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:

Score 3, If not score 0

The District Water Officer provided evidence (Land titles, agreement Formal Consent, MOU) that Water Source and Sanitation Projects are implemented on land where the Local Government had Proof of Consent without any encumbrances for the new water and sanitation infrastructure projects implemented in the year 2019/2020.

Seven land agreements were provided for the boreholes that had been drilled in the district during the year. These included:

- Borehole at Kilyamenvu LC I, Kayebe Parish, Kitenga Sub County. The land agreement was signed between Mr. Rupia Joseph – Tel 0775285270 (Land Owner) and witnessed by Mr. Nyama James – Tel 0781736315 on January 23rd, 2020;

- Borehole at Kitonzi LCI, Kitonzi Parish, Nabingoola Sub County. The land agreement was signed between Mr. Bukenya Bernard – Tel 070076740 (Land Owner) and Mr. Semprima Mohammed – Tel 0705436476 (LC 1 Chairperson on January 22nd, 2020;

- Borehole at Ssemutto LCI, Kabalingo A Parish, Kasambya Sub County. The land agreement was signed between Mr. Ntende Abudu – Tel 0700141362 (Land Owner) and witnessed by Mr. Ssemujugirwa – Tel 0755638285 on January 28th, 2020;

- Borehole at Kayunga LCI, Magolodde Parish, Kigando Sub County. The land agreement was signed between Mr. Muhwezi Patrick – Tel 0779611646 (Land Owner) and witnessed by Mr. Tumwebaze Fred – Tel 0786961935 on January 24th, 2020;

- Borehole at Kyakadaali LCI, Kagoma Parish, Kitenga Sub County. The land agreement was signed between Mr. Mayanja Mulitini Salongo – (Land Owner) and witnessed by Mr. Ngendahayo Milton – Secretary LCI on November 23rd, 2018; and

- Borehole at Lusaana LCI, Kaboo Parish, Kasambya Sub County. The land agreement was signed between Mr. Nyanzi Evaristo (Land Owner) and witnessed by Mr. Mugisha Joshua – LC Chairman on January 21st, 2020.

15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p> <p>Score 2, If not score 0</p>	<p>There was evidence that E&S certification forms had been completed and signed for the following sampled projects:</p> <p>a) Rehabilitation of 10 boreholes in the district</p> <p>b) Supply and installation of 2 (Two) 10,000-litre Plastic water tanks at District Headquarters</p> <p>c) Consultancy for the design of Bugomba Gravity Flow Scheme and Butawata Motorized water system</p>	2
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 2, If not score 0</p>	<p>There was evidence that the CDO and environment Officers undertook monitoring to ascertain compliance with ESMPs. Monitoring reports were presented as follows:</p> <p>1) Drilling of borehole at Kyakadaali village, Kagoma parish, Kitenga S/County, dated 08/06/2020. It was Certification No: 2019/004;</p> <p>2) Drilling of borehole at Kabbo 'B' village, Kabbo parish, Kasambya S/County, dated 10/06/2020. It was Certification No: 2019/005;</p> <p>3) Drilling of borehole at Kiryamenvu village, Kayebbe 'A' parish, Kitenga S/County, dated 08/06/2020. It was Certification No: 2019/004;</p> <p>4) Drilling of borehole at Kayunga village, Mugolodde parish, Kigando S/County, dated 10/06/2020. It was Certification No: 2019/003; and</p> <p>5) Drilling of borehole at Kakigando village, Kijjuma parish, Kiyuni S/County, dated 02/06/2020. It was Certification No: 2019/010.</p>	2

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>LG has up to-date data on irrigated land for the last two FYs as follows:</p> <p>-0 acres for the beneficiaries of the micro scale irrigation grant;</p> <p>- 22 acres for non beneficiaries in FY 2018/2019;</p> <p>- 63 acres for non beneficiaries in FY 2019/2020.</p>	2
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>LG has increased acreage of newly irrigated land by 65% (41 acres) in FY 2019/2020 compared to 2018/2019.</p>	2
2	<p>Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%; score 4 • 60 – 69%; score 2 • Below 60%; score 0 <p>Maximum score 4</p>	Not applicable	0
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training):</p> <p>Score 2 or else score 0</p>	<p>Procurement and installation of irrigation equipment not yet done.</p>	0

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	Procurement and installation of irrigation equipment not yet done.	0
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	Procurement and installation of irrigation equipment not yet done.	0
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0	Procurement and installation of irrigation equipment not yet done.	0
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	a) Evidence that the LG has recruited LLG extension workers as per staffing structure • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0	The staffing levels as of June, 2020 dated 30/6/2020 indicated the Approved structure for extension workers as 68 Posts Filled Posts= 36 Posts 36/68x100= 53% 53% Posts filled.	0
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF • If 100% score 2 or else score 0	Micro-scale irrigation equipment not yet procured and installed.	0

4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the installed micro-scale irrigation systems during last FY are functional • If 100% are functional score 2 or else score 0	There was no evidence that the installed micro-scale irrigation systems during last FY 2019/2020 are functional.	0
	Maximum score 6			

Performance Reporting and Performance Improvement

5	Accuracy of reported information: The LG has reported accurate information	a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0	There was no evidence that information on position of extension workers filled was accurate.	0
	Maximum score 4			

The staff list for Bageza S/C from HRM Division had Sebugwawo George as Agriculture Officer for Bagezza S/C but this name was missing from the staff list obtained from Bagezza S/C Office.

The staff list from HRM for Kibalinga S/C had Madoyi Moses as the Agricultural Officer while the one from Kibalinga S/C Office had Nyombi Daniel as the Agricultural Officer.

5	Accuracy of reported information: The LG has reported accurate information	b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0	Micro-scale irrigation system not yet procured and installed.	0
	Maximum score 4			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0	Information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest as evidenced by monitoring reports by acting SAE dated 26.08.2019, 16.4.2019.	2
	Maximum score 6			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0	LG has entered up to-date LLG information into MIS. The last date of update was 18.11.2020.	1
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	There was no evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS	0
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	LG has developed an approved Performance Improvement Plan for the lowest performing LLG by acting DAO dated 15.07.2020.	1
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	There was no evidence of PIP implementation reports	0

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	LG had budgeted for extension workers as per guidelines/in accordance with the staffing norms as evidenced by the workplan and budget for Mubende District Production Department for FY 2020/2021	1
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	ii Deployed extension workers as per guidelines score 1 or else 0	LG had deployed extension workers as per guidelines evidenced by the staffing list for FY 2020/2021 (90% of the positions were filled)	1
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	From the sampled LLGs there was evidence that extension workers were working in LLGs where they were deployed. From the evidence on the appointment letters of extension workers, staff list from the 3 sampled LLGs (Kasambya T/C, Kibalinga S/C and Bagezza S/C) , Arrival books, TPC minutes for the 3 LLGs, departmental reports and activity reports the extension workers were in place.	2
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	c) Evidence that extension workers deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0	There was evidence that extension workers deployment has been publicized and disseminated to LLGs by among others displaying staff list on the Notice board for Kasambya T/C.	2

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	a) Evidence that the District Production Coordinator has: i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0	There was no evidence that the District Production Coordinator Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and submitted a copy to HRO during the previous FY; The files for extension workers were not available at the time of this assessment.	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	a) Evidence that the District Production Coordinator has; Taken corrective actions: Score 1 or else 0	There was no evidence of corrective actions taken.	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	b) Evidence that: i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0	There was no evidence of training activities were conducted in accordance to the training plans at District level	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	ii Evidence that training activities were documented in the training database: Score 1 or else 0	There was no evidence of a training database.	0

Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0</p>	<p>There was no evidence that Mubende LG had appropriately allocated the micro scale irrigation grant between capital development and complementary services.</p>	0
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>There was no evidence that Mubende LG made budget allocations towards complementary services in line with the sector guidelines.</p>	0
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>There was no evidence that LG reflected co-funding in the LG budget.</p>	0
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>There was no evidence that LG used the farmer co-funding following the same rules to the micro scale irrigation grant.</p>	0
Maximum score 10				

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>LG has disseminated information on use of the farmer co-funding</p>	2
<p>Maximum score 10</p>				
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 	<p>For the non beneficiaries, DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.). This evidenced by Quarterly reports by DPO dated 1.07.2020, 24.11.2020,</p>	2
<p>Maximum score 8</p>				
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>This activity has not been done yet. There are no approved farmers.</p>	0
<p>Maximum score 8</p>				
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	<p>LG has provided hands-on support to the LLG extension workers during the implementation of complementary services</p>	2
<p>Maximum score 8</p>				

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	This activity has not been done yet.	0
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	LG has conducted activities to mobilize farmers as per guideline as evidenced by activity report by acting DAO dated 27.04.2020. 320 farmers have filled in EOI form against a target of 350.	2
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	District has trained staff and political leaders at District and LLG as evidenced by attendance lists dated 18.11.2020 (8 participants) , 24.11.2020 (9 participants)	2
Investment Management				
12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines Maximum score 8	a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0	Micro-scale irrigation equipment not yet procured and installed.	0
12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines Maximum score 8	b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0	LG keeps an up-to-date database of applications at the time of the assessment as evidenced by the irritrack. Date of last update is 24.11.2020.	2

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>This activity has just started with less than 5 farmers visited.</p>	0
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>The eligible farmers had not been publicized.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>There was no evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>There was no evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by MAAIF</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	<p>There was no evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria</p>	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	d) Evidence that the micro-scale irrigation systems was approved by the Contracts Committee: Score 1 or else 0	There was no evidence that the micro-scale irrigation systems was approved by the Contracts Committee	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	There was no evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	f)Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	Micro-scale irrigation equipment not yet procured and installed	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Agricultural Engineer or Contracted staff): Score 2 or else 0	There was no evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers. Micro-scale irrigation equipment not yet procured and installed	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0	Micro-scale irrigation equipment not yet procured and installed.	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	Micro-scale irrigation equipment not yet procured and installed.	0
	Maximum score 18			

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	There were no payment requests.	0
	Maximum score 18			

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	There was no evidence that the LG had a complete procurement file for each contract and with all records required by the PPDA Law	0
	Maximum score 18			

Environment and Social Safeguards

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	There was no evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas	0
	Maximum score 6			

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	Not applicable to Mubende District since there were no running projects in micro-scale irrigation.	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	Not applicable to Mubende District since there were no running projects in micro-scale irrigation.	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	Not applicable to Mubende District since there were no running projects in micro-scale irrigation.	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iv. Reported on in line with LG grievance redress framework score 1 or else 0	Not applicable to Mubende District since there were no running projects in micro-scale irrigation.	0

Environment and Social Requirements

15	Safeguards in the delivery of investments Maximum score 6	a) Evidence that LGs have disseminated Micro-irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc. score 2 or else 0	There was no evidence that LGs have disseminated Micro-irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.	0
15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment. i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	Not applicable to Mubende District LG as there were no micro-scale irrigation projects implemented.	0
15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	Not applicable to Mubende District LG as there were no micro-scale irrigation projects implemented.	0
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	Not applicable to Mubende District LG as there were no micro-scale irrigation projects implemented.	0
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	Not applicable to Mubende District LG as there were no micro-scale irrigation projects implemented.	0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>Evidence that the LG has recruited or requested for secondment of staff for all critical positions in the District Production Office responsible for micro-scale irrigation</p> <p>Maximum score is 70</p>	<p>If the LG has recruited the Senior Agriculture Engineer score 70 or else 0.</p>	<p>The LG had recruited and appointed the Senior Agriculture Engineer Kisakye Fredrick on 28/1/2015.</p>	70
Environment and Social Requirements				
2	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p>Maximum score is 30</p>	<p>If the LG:</p> <p>a. Carried out Environmental, Social and Climate Change screening, score 15 or else 0.</p>	<p>Not applicable to Mubende District LG as there were no micro-scale irrigation projects implemented.</p>	0
2	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p>Maximum score is 30</p>	<p>b. Carried out Social Impact Assessments (ESIAs) where required, score 15 or else 0.</p>	<p>Not applicable to Mubende District LG as there were no micro-scale irrigation projects implemented.</p>	0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	If the LG has recruited: a. 1 Civil Engineer (Water), score 15 or else 0.	There was evidence that the LG recruited and appointed a Civil Engineer (Water) Kateregga Dickson on 6/10/2020, Min No. 96/2020 (96.13).	15
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	There was no evidence that the LG recruited an Assistant Water Officer for Mobilisation.	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	There was no evidence that the LG had recruited and appointed a Borehole Maintenance Technician.	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	d. 1 Natural Resources Officer , score 15 or else 0.	There was evidence that the LG had recruited and appointed a Natural Resources Officer Kinene Vincent on 20/2/2015, Min No. 5/2015(d).	15
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	e. 1 Environment Officer, score 10 or else 0.	There was evidence that the LG recruited and appointed Environment Officer Bisangabasaija Sufyan on 1/3/2019 Min No 15/2019 (15.19).	10
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	f. Forestry Officer, score 10 or else 0.	There was evidence that the LG recruited and appointed a Forestry Officer Tumwesigye Alex on 1/3/2019, Min No. 15/2019 (15.20.1).	10

Environment and Social Requirements

2

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

If the LG:

a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.

There was evidence that the LG carried out Environmental, Social and Climate Change screening. Ten projects were screened with the Screening Forms signed by both the Environment and Community Development Officers. Among them included:

1) Drilling of borehole at Kayunga village, Kigando S/County, screened on 03rd August 2019;

2) Drilling of borehole at Semuto village in Kibalinga S/County, screened on 02nd July 2019;

3) Drilling of borehole at Kitonzi in Kibalinga S/County, screened on 13th Feb. 2019;

4) Drilling of borehole at Kyakadali in Kitenga S/County, screened on 03rd May 2019; and

5) Drilling of Production Well at Kakigando village, Kijumba parish, Kiyuni S/County, screened on 02 July 2019.

10

2

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.

The Screening Reports did not deem ESIA necessary for any of the screened projects.

10

2

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that contractors got abstraction permits issued by DWRM, score 10 or else 0.

There was NO abstraction permits available.

0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>If the LG has substantively recruited or formally requested for secondment of:</p> <p>a. District Health Officer, score 10 or else 0.</p>	<p>There was evidence that the District Health Officer Ssemujju Deogratius Lubowa was substantively appointed on 31/5/2018, Min No. 48/2018 (1).</p>	10
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0</p>	<p>There was evidence that the LG recruited and appointed the Assistant District Health Officer Maternal, Child Health and Nursing Ssendikadiwa Vito Bosco on 21/12/2010, Min No. 112/2010 (d) (1).</p>	10
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>c. Assistant District Health Officer Environmental Health, score 10 or else 0.</p>	<p>There was evidence that the LG recruited and appointed the Assistant District Health Officer Environmental Health Bagonza Godfrey on 8/5/2017, Min No. 39 /2017.</p>	10
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>d. Principal Health Inspector (Senior Environment Officer) , score 10 or else 0.</p>	<p>There was evidence that the LG recruited and appointed the Senior Environmental Health Officer Namusoke Mary on 31/3/2020, Min No. 36/ 2020 (3).</p>	10

1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>e. Senior Health Educator, score 10 or else 0.</p>	<p>There was evidence that the LG recruited and appointed a Senior Health Educator Kawuma Charles on 13/5/2013, Min No.21/2013 (r) (1).</p>	10
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>There was evidence that the LG recruited and appointed a Biostatistician Ssenyonjo Robert Williams on 28/3/2011, Min No. 15/2011.</p>	10
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>There was evidence that the LG recruited and appointed a District Cold Chain Technician Nabitaka Sarah on 6/10/2020, Min No. 97/2020 (97.3.1).</p>	10
1	<p>Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. If the MC has in place or formally requested for secondment of Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		
1	<p>Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. If the MC has in place or formally requested for secondment of Principal Health Inspector, score 20 or else 0.</p>		

1	<p>Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>j. If the MC has in place or formally requested for secondment of Health Educator, score 20 or else 0.</p>
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Environment and Social Requirements

2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>There was evidence that the LG carried out Environmental, Social and Climate Change screening. Seven Screened projects presented signed by both the Environment Officer and Community Development Officer. Among these included:</p> <p>1) Construction works at Kagando HC III, screening was done on 28th July 2019;</p> <p>2) Construction of staff house at Kiyita HC II, screening was done on 07th July 2019;</p> <p>3) Renovation of Maddudu HC III, screening was done on 18th June 2020;</p> <p>4) Renovation of Kakigondo HC II, screening was done on 07th July 2019; and</p> <p>5) Construction works at Butolooga HC II, screening was done on 18th July 2019.</p>	15
2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>b. Social Impact Assessments (ESIAs) , score 15 or else 0.</p>	<p>The Screening Reports did not deem ESIA necessary for any of the screened projects.</p>	15

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	Evidence that the LG has substantively recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Education Office namely: <i>The maximum score is 70</i>	If the LG has substantively recruited or formally requested for secondment of: a) District Education Officer/ Principal Education Officer, score 30 or else 0.	There was evidence that the LG substantively recruited and appointed the District Education Officer Kayiwa Benson on 13/5/2013, Min No. 21/2013 (m) (1).	30
1	Evidence that the LG has substantively recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Education Office namely: <i>The maximum score is 70</i>	If the LG has substantively recruited or formally requested for secondment of: b) All District/Municipal Inspector of Schools, score 40 or else 0.	There was evidence that the District had recruited and appointed all the Inspector of Schools as follows; The Senior Inspector of Schools Sebitosi Joseph was appointed on 25/6/2015, Inspectors of schools Ssaka Roberts, Sekyambalo Joseph and Mbirizi Mohammed were substantively appointed on 1/7/2019, 30/10/2015 and 16/10/2017 respectively.	40

Environment and Social Requirements

2	Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)	If the LG carried out: a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.	There was evidence that the LG carried out Environmental, Social and Climate Change screening. Seven Screened projects presented signed by both the Environment Officer and Community Development Officer. Among these included: 1) Rehabilitation of a 2-classroom block at Kasambya DAS P/S, screened on 2nd July 2019; 2) Construction of a 2-classroom block at Lwawuna P/S, screened on 02 July 2019; 3) Construction of a 2-Unit staff house at Kabowa P/S, screened on 2nd July 2019; 4) Construction of a 2-Unit staff house at Katega P/S, screened on 2nd July 2019; and 5) Construction of a 2-classroom block at Biwalwe P/S.	15
	The Maximum score is 30			

2	Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)	If the LG carried out: b. Social Impact Assessments (ESIAs) , score 15 or else 0.	The Screening Reports did not deem ESIA necessary for any of the screened projects.	15
	The Maximum score is 30			

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	There was evidence that the LG recruited and appointed a Chief Finance Officer Ndabirawo Patrick on 2/6/2017, Min No. 60/2017 (60/1) (i).	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The Senior Planner (Nakawuki Prisca) who was recruited on 5/6/2020 was acting as the District Planner.	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	There was evidence that the LG recruited and appointed a District Engineer (Engineer Muzibira John Baptist Lusiba on 26/2/2016, Min No. 22 /2016 22.10 (1).	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	There was evidence that the District Natural Resources Officer Kinene Vincent was substantively recruited and appointed on 20/2/2015, Min No. 5/2015(d).	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	There was no evidence that District Production Officer was substantive. The Senior Agricultural officer Kisakye Fredrick William was assigned the duties of District Production Officer on 1/7/2020.	0

1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/ Principal CDO, score 3 or else 0	There was evidence that the District Community Development Officer Ndagire Mary Noeline was substantively recruited and appointed on 21/12/2010, Min No. 112 /2010 (a) (1).	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	There was no evidence that the District Commercial Officer was substantive. The Principal Commercial Officer Namirembe Sylvia was assigned duties of District Commercial Officer on 1/7/2020.	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	other critical staff h (i). A Senior Procurement Officer (Municipal: Procurement Officer) score 2 or else 0.	There was evidence that the Senior Procurement Officer Ssemwogerere Issa was substantively recruited and appointed on 1/2/2008, Min No. 41/2007.	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	h(ii). Procurement Officer (Municipal Assistant Procurement Officer), score 2 or else 0	There was evidence that the Procurement Officer Ndahura Luke was substantively appointed on 15/10/2020, Min No. 114 /2020 (1).	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	There was evidence that the Principal Human Resource Officer Mulindwa Michael was substantively appointed on 26/5/2009, Min No. 33 /2009.	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	There was evidence that the Senior Environment Officer Lotet Ronald was substantively appointed on 21/6/2017, Min No. 53 /2017 (53.1).	2

1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.	k. Senior Land Management Officer, score 2 or else 0	There was evidence that the Senior Land Management Officer Nakibinge Jamil was substantively appointed on 18/1/2018, Min No. 74/2017 74.1.	2
Maximum score is 37.				
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.	l. A Senior Accountant, score 2 or else 0	There was no evidence that the Senior Accountant was substantively appointed.	0
Maximum score is 37.				
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.	m. Principal Internal Auditor for Districts and Senior Internal Auditor for MCs,	There was evidence that the Principal Internal Auditor Kiwalabye Godfrey was substantively appointed on 6/10/2020, Min No. 96/2020 (96.4).	2
Maximum score is 37.	score 2 or else 0			
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.	n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0	There was evidence that the Principal Human Resource Officer (Secretary DSC) Angutiko Jimmy was substantively appointed on 10/3/2015, Min No. 29/2015.	2
Maximum score is 37.				

Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG

Maximum score is 15

If LG has recruited or requested for secondment of:

a. Senior Assistant Secretaries in all LLGS,

score 5 or else 0

There was evidence that the LG recruited Senior Assistant Secretaries in all LLGs. They were recruited and appointed as follows;

Nansubuga Juliet SAS Kibalinga S/C , Kamulegeya David SAS Kasambya S/C, Bakaki James SAS Kiruuma S/C, Nanfuka Lilian SAS Kayebe S/C , Kiyini Ronald SAS Kalonga S/C and Nambuusi Annet SAS Kiyuni S/C were appointed on 25/5/2020,

Babirye Teddy SAS Nabingoola S/C and Yikii Adra Stanley SAS Butoloogo S/C were appointed on 13/5/2013,

Nakamya Florence SAS Bagezza S/C was appointed on 9/1/2008,

Kyaligonza Pearl Christine SAS Madudu S/C was appointed on 10/1/2014,

Ssali Edison SAS Kigando S/C was appointed on 30/5/2007,

Nkalubo Judahs SAS Kitenga S/C was appointed on 28/6/2017.

Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG

Maximum score is 15

If LG has recruited or requested for secondment of:

b. A Community Development Officer or Senior CDO in case of Town Councils, in all LLGS

score 5 or else 0.

There was evidence that the LG recruited Community Development Officers or Senior CDOs in all LLGs. They were recruited and appointed as follows;

Aine Irene CDO Kigando S/C was appointed on 29/5/2020,

Ssebjakijje Joel Araali CDO Kitenga S/C was appointed on 29/5/2020,

Nakatimbo Angella CDO Madudu S/C was appointed on 25/5/2020,

Buyinza Henry CDO Kiyuni S/C was appointed on 13/12/2013,

Bantebya Gorreth CDO Nabingoola S/C was appointed on 31/5/2018,

Tumusiime Evelyne CDO Butoloogo S/C was appointed on 29/5/2020,

Yawe Willy CDO Bagezza S/C was appointed on 25/5/2020,

Kyambadde Sam Dan CDO Kibalinga S/C was appointed on 29/5/2020 and

Bingi Simon CDO Kasambya S/C was appointed on 31/5/2018.

2	<p>Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG</p> <p>Maximum score is 15</p>	<p>If LG has recruited or requested for secondment of:</p> <p>c. A Senior Accounts Assistant or an Accounts Assistant in all LLGS,</p> <p>score 5 or else 0.</p>	<p>There was evidence that the LG recruited Senior Accounts Assistant or an Accounts Assistant in all LLGs.</p> <p>The Senior Accounts Assistants were substantively appointed as follows;</p> <p>Namata Rose Kasambya S/C on 6/4/2017,</p> <p>Katumba Frank Kigando S/C on 21/12/2010,</p> <p>Rokan John Nabingoola S/C on 17/6/2004,</p> <p>Babirye Specioza Kibalinga S/C on 23/2/2015,</p> <p>Matovu Juliet Kiyuni S/C on 3/8/2008,</p> <p>Kiwanuka Anicet Bagezza S/C on 5/6/2020,</p> <p>Kalungi Joyce Kitenga S/C on 14/3/2014 and</p> <p>Kiyegga Samuel Butoloogo S/C on 17/6/2004.</p>	5
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Environment and Social Requirements

3	<p>Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.</p> <p>Maximum score is 4</p>	<p>If the LG has released 100% of funds allocated in the previous FY to:</p> <p>a. Natural Resources department,</p> <p>score 2 or else 0</p>	<p>For the Natural Resources department, the LG released UGX 256,406,458 against a budget of UGX 296,732,866 allocated as evidenced from the Financial Statements for the year ended 30th June 2020 – Page 12 Statement of Appropriations, representing 86% of funds.</p>	0
3	<p>Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.</p> <p>Maximum score is 4</p>	<p>If the LG has released 100% of funds allocated in the previous FY to:</p> <p>b. Community Based Services department.</p> <p>score 2 or else 0.</p>	<p>For the Community Based Services department, the LG the LG released UGX 176,421,952 against a budget of UGX 464,807,755 allocated as evidenced from the draft Financial Statements for the year ended 30th June 2020 – Page 12 Statement of Appropriations, representing 38% of funds</p>	0

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0

There was evidence that the LG had carried out Environmental, Social and Climate Change screening. Among the District DDEG projects included:

- 1) Grading of a football field at Mugungulu Seed Secondary School;
- 2) Perimeter fencing of Kanyogoga Valley Dam;
- 3) Procurement of Laboratory supplies and Vaccines;
- 4) Procurement of a 5000 Ltr Water Tank for water for agricultural production - Kasambya sub county.

Screening was done for the first two that needed Environmental and Social Screening. The Screening Report for Grading of a football field at Mugungulu Seed Secondary School was signed by both the Community Development officer and Environment Officer on 3rd and 8th August 2019 respectively.

The other projects did not require Environment and Social Screening.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),

score 4 or 0

The Screening Reports did not deem ESIA necessary for any of the screened projects.

4

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;

score 4 or 0

There was evidence that the LG Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG). Costing indicated for DDEG projects was as follows:

- 1) Grading of a football field at Mugungulu Seed Secondary School, costed at UGX13,064,960/=;
- 2) Perimeter fencing of Kanyogoga Valley Dam, costed at UGX4,000,000/=.
- 3) Procurement of Laboratory supplies and Vaccines, costed at 1,400,000/=;
- 4) Procurement of a 5000 Ltr Water Tank for water for agricultural production - Kasambya sub county, costed at UGX2,500,000/=.

4

Financial management and reporting

5

Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.

Maximum score is 10

If a LG has a clean audit opinion, score 10;

If a LG has a qualified audit opinion, score 5

If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0

To be assessed in January 2021 after the Auditor General issues an opinion on the Financial Statements.

0

Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).

maximum score is 10

If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),

score 10 or else 0.

The LG provided information to the PS/ST on the status of implementation of the **Auditor General's** findings for the year ended 30th June 2019 in a letter Ref: CR/MUB/110/1 dated 28th February 2020. This was within the deadline of 29th February 2020.

Issues raised and responded to included:

- 1) Non-absorption of released funds
- 2) Partially completed projects
- 3) Outstanding arrears – UGX 766,042,182
- 4) Unrecovered YLP funds – 37%

The LG provided information to the PS/ST on the status of implementation of the **Internal Auditor General's** findings for the year ended 30th June 2019 in a letter Ref: CR/MUB/110/1 dated 12TH December 2020 . This was within the deadline of 29th February 2020.

Issues raised and responded to, included the following:

- 1) Unaccounted for funds – UGX 520,007,470
- 2) Shortfall in local revenue
- 3) Unaccounted for USE funds – UGX 24,157,976
- 4) Unimplemented activities under URF – UGX 123,505,000

Evidence that the LG has submitted an annual performance contract by August 31st of the current FY

Maximum Score 4

If the LG has submitted an annual performance contract by August 31st of the current FY,

score 4 or else 0.

Mubende LG submitted the annual performance contract dated 23rd June 2020 Ref: CR/MUB/103/3 signed by the CAO on the 26th June 2020.

8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>LG submitted the Annual Performance Report for the FY 2019/2020 on 21st August 2020. This was within the deadline of 30th August 2020.</p>	4
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>Mubende LG submitted the quarterly Budget Performance Reports on the dates below:</p> <p>Q1 – 13th December 2019</p> <p>Q2 – 7th February 2020,</p> <p>Q3 – 5th May 2020 and</p> <p>Q4 – 21st August 2020.</p> <p>The 4th quarter Performance report was submitted before the 31st August 2020.</p>	4